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Grodzins et al.

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(54) **VERSATILE X-RAY BEAM SCANNER**

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27, 2010, provisional application No. 61/533,407,
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A61B 6/06 (2006.01)

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CPC **G01N 23/203** (2013.01); **A61B 6/06**
(2013.01); **G21K 1/02** (2013.01); **G02B 27/30**
(2013.01); **G21K 1/04** (2013.01); **G02B 26/10**
(2013.01)

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A61B 6/06; G21K 1/02; G21K 1/04
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378/210; 250/505.1, 506.1, 507.1, 522.1;
359/641, 232-236

See application file for complete search history.

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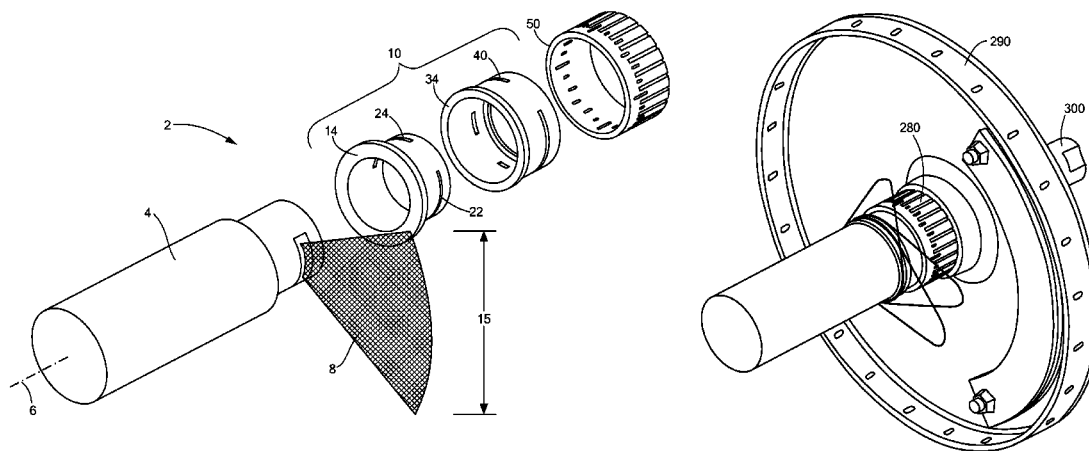
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(57) **ABSTRACT**

Apparatus for interrupting and/or scanning a beam of pen-
etrating radiation, such as for purposes of inspecting contents
of a container. A source, such as an x-ray tube, generates a fan
beam of radiation effectively emanating from a source axis,
with the width of the fan beam collimated by a width colli-
mator, such as a clamshell collimator. An angular collimator,
stationary during the course of scanning, limits the extent of
the scan, and a multi-aperture unit, such as a hoop, or a nested
pair of hoops, is rotated about a central axis, and structured in
such a manner that the total beam fluence incident on a target
is conserved for different fields of view of the beam on the
target. The central axis of hoop rotation need not coincide
with the source axis.

31 Claims, 18 Drawing Sheets



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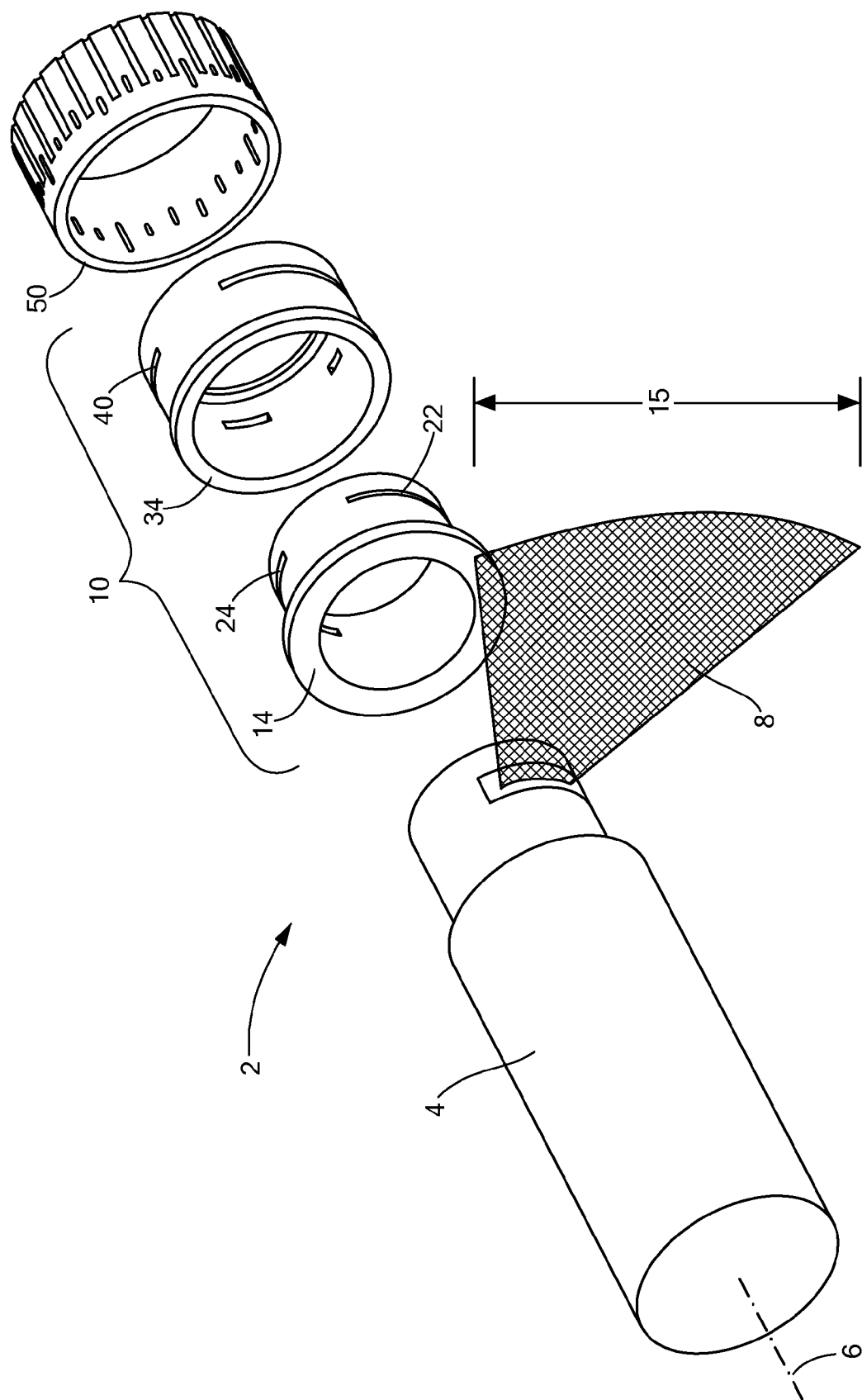


FIG. 1

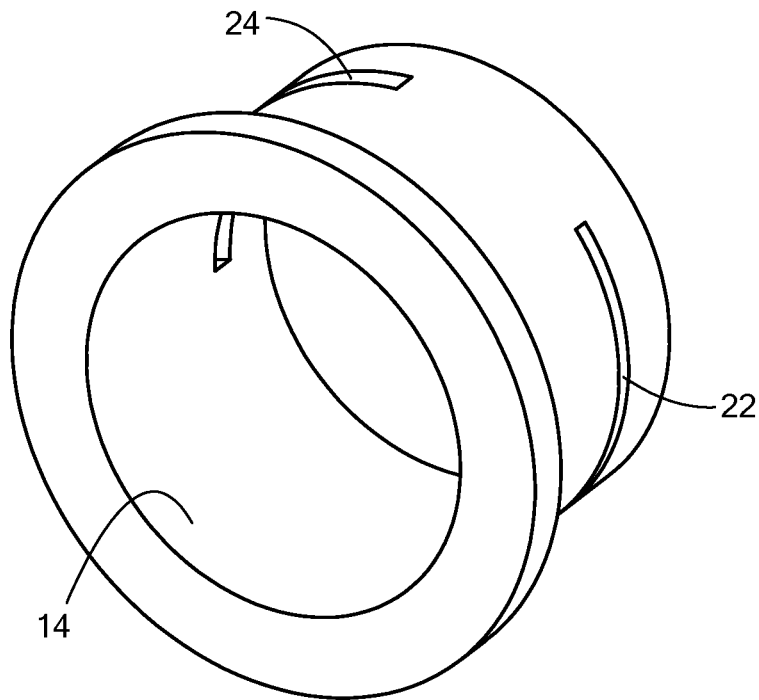


FIG. 2

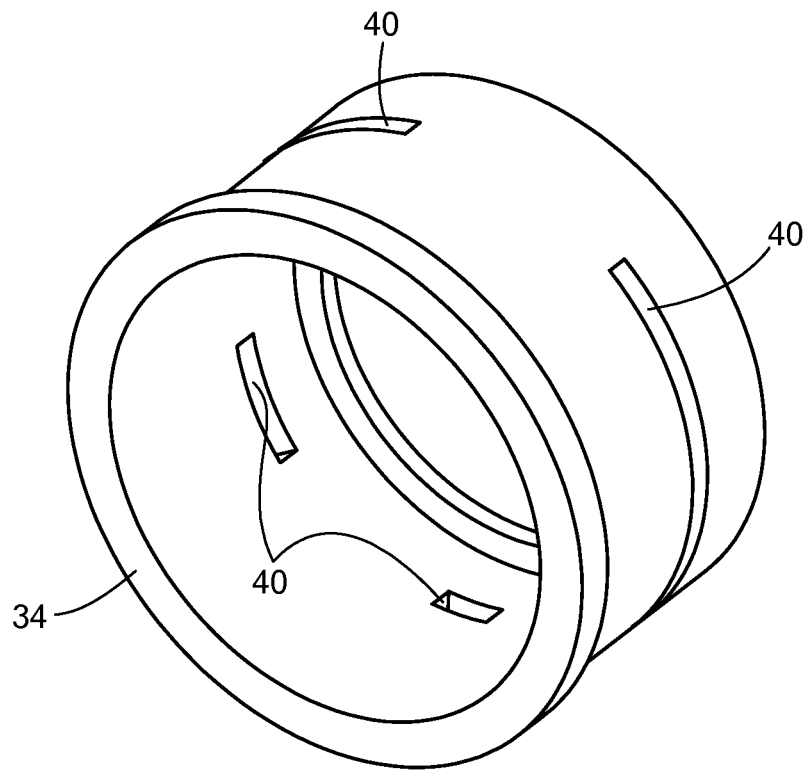


FIG. 3A

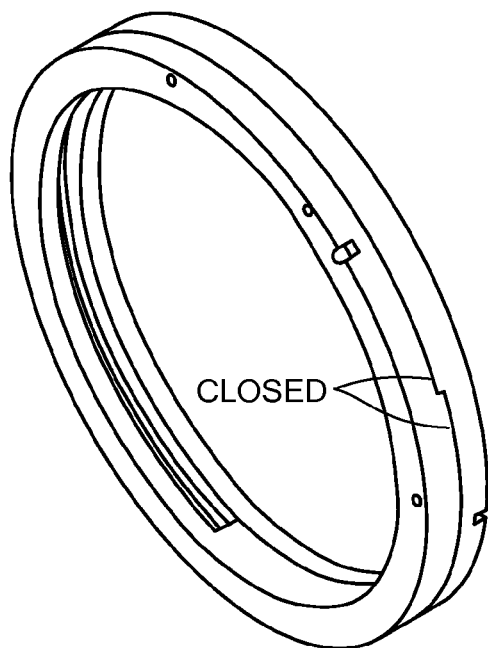


FIG. 3B

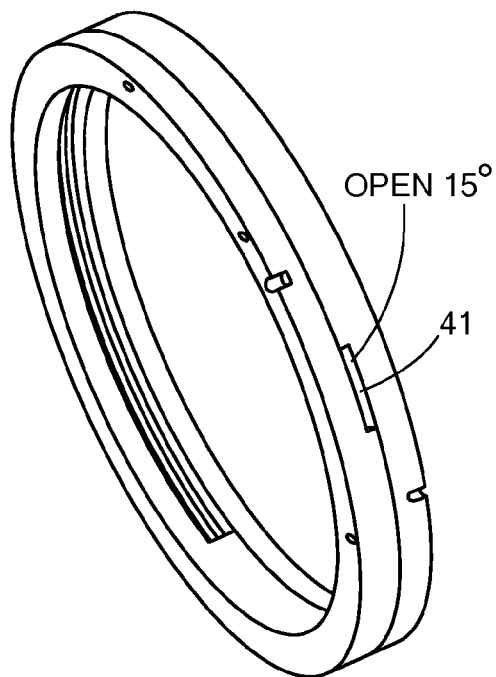


FIG. 3C

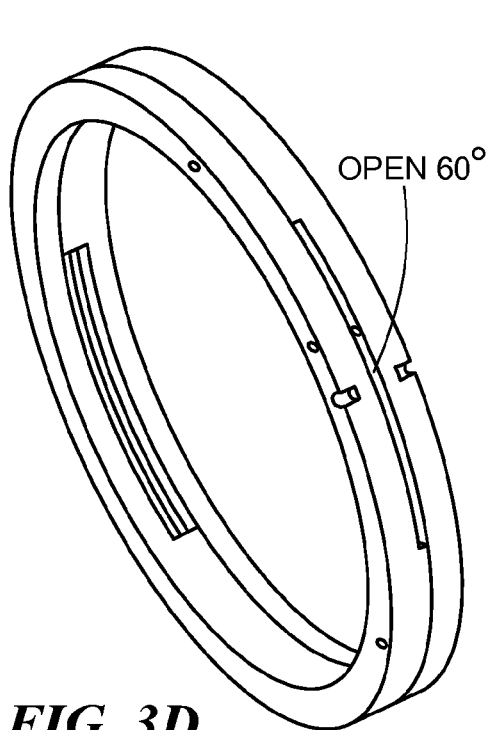


FIG. 3D

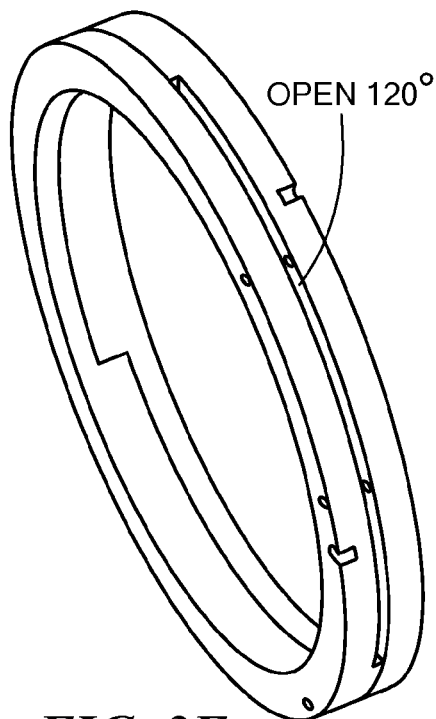


FIG. 3E

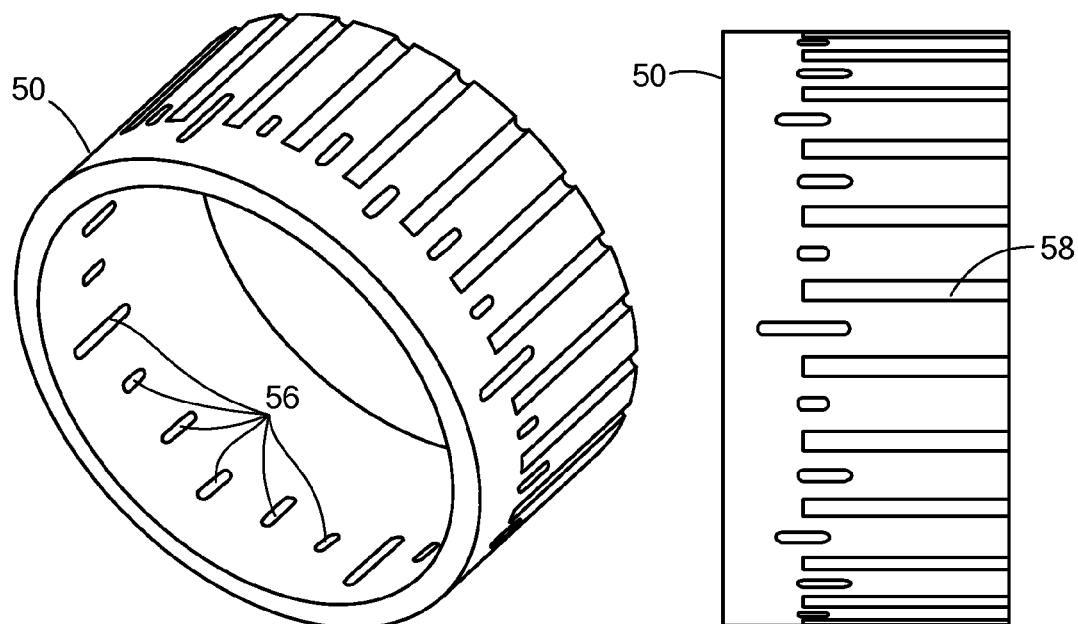


FIG. 4

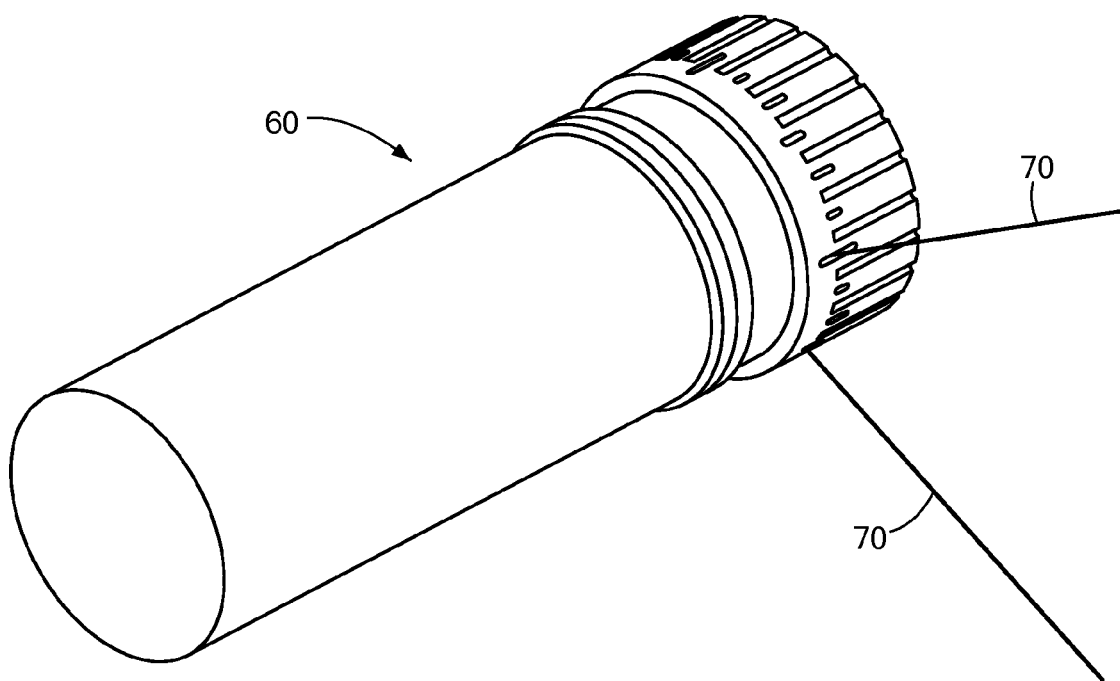


FIG. 5

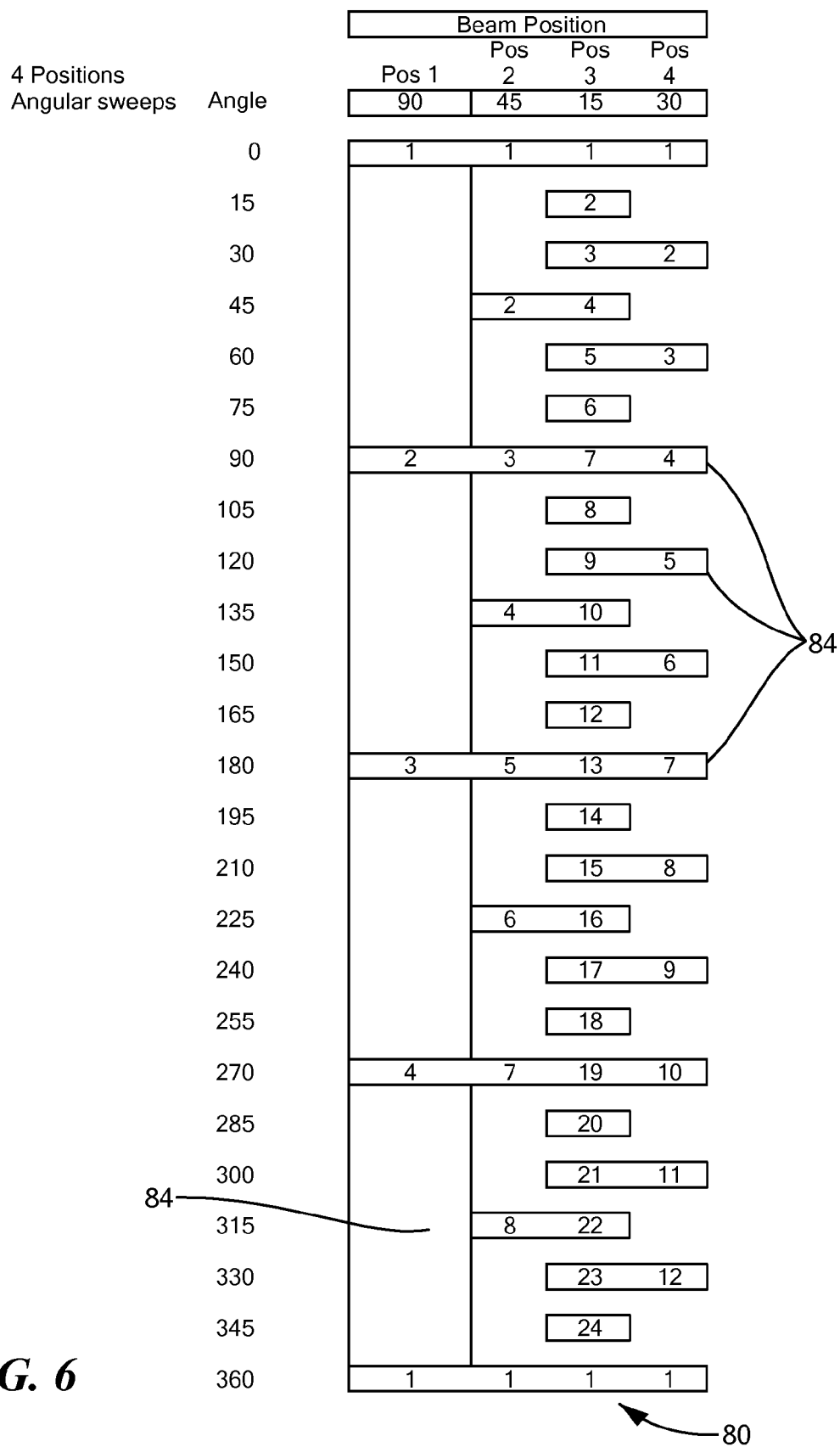
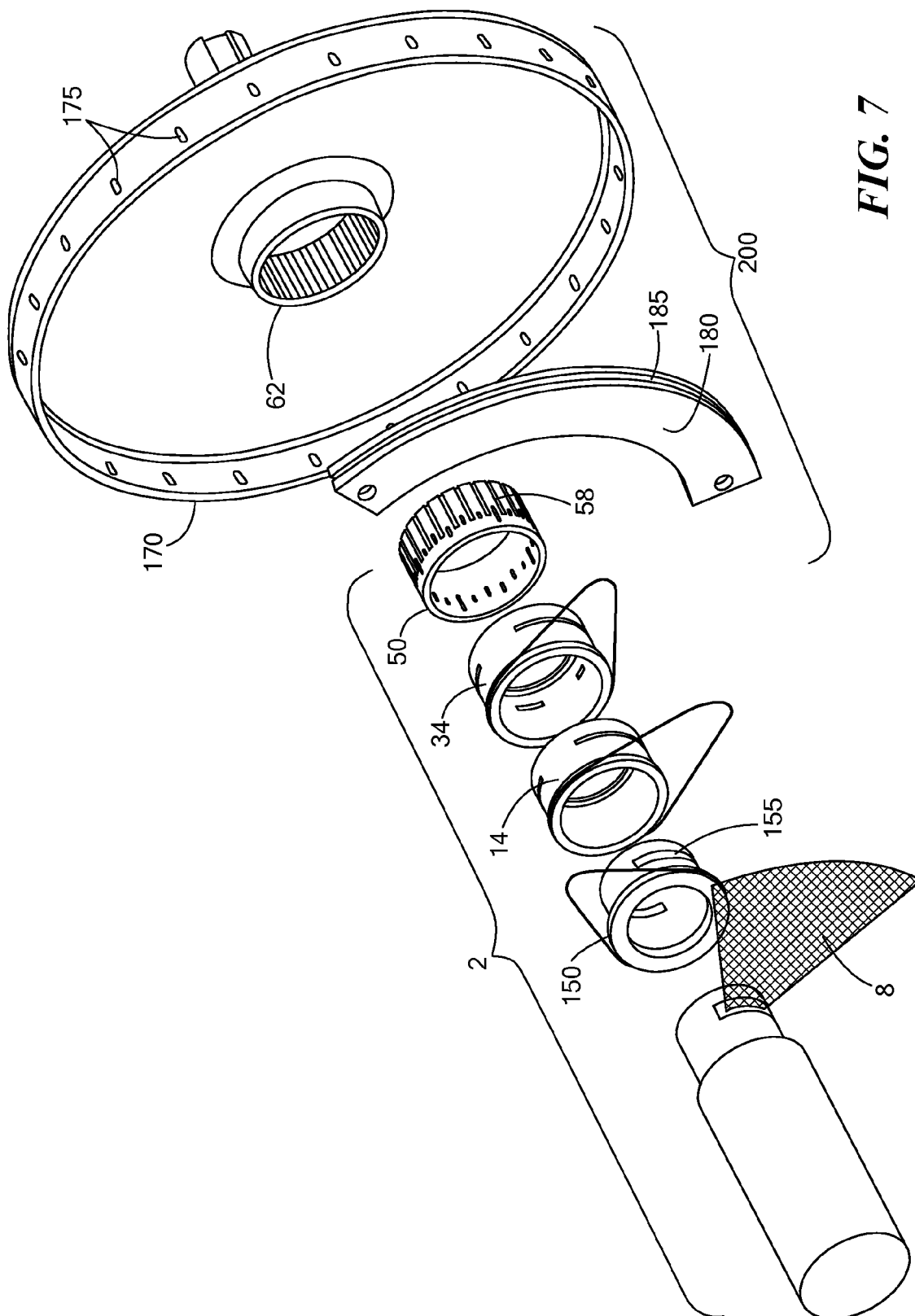


FIG. 6



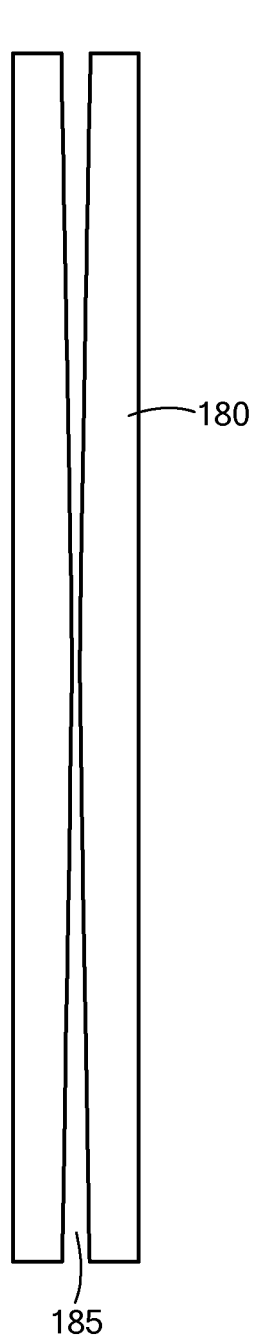


FIG. 8A

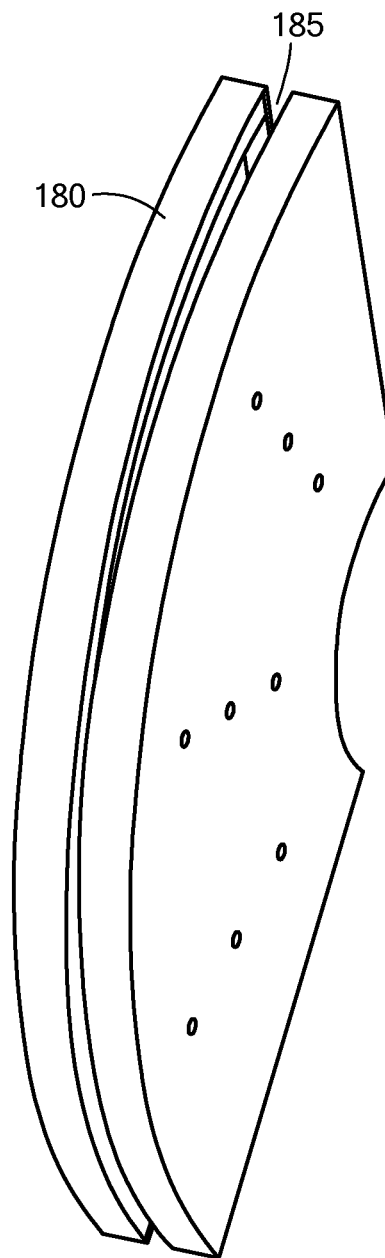
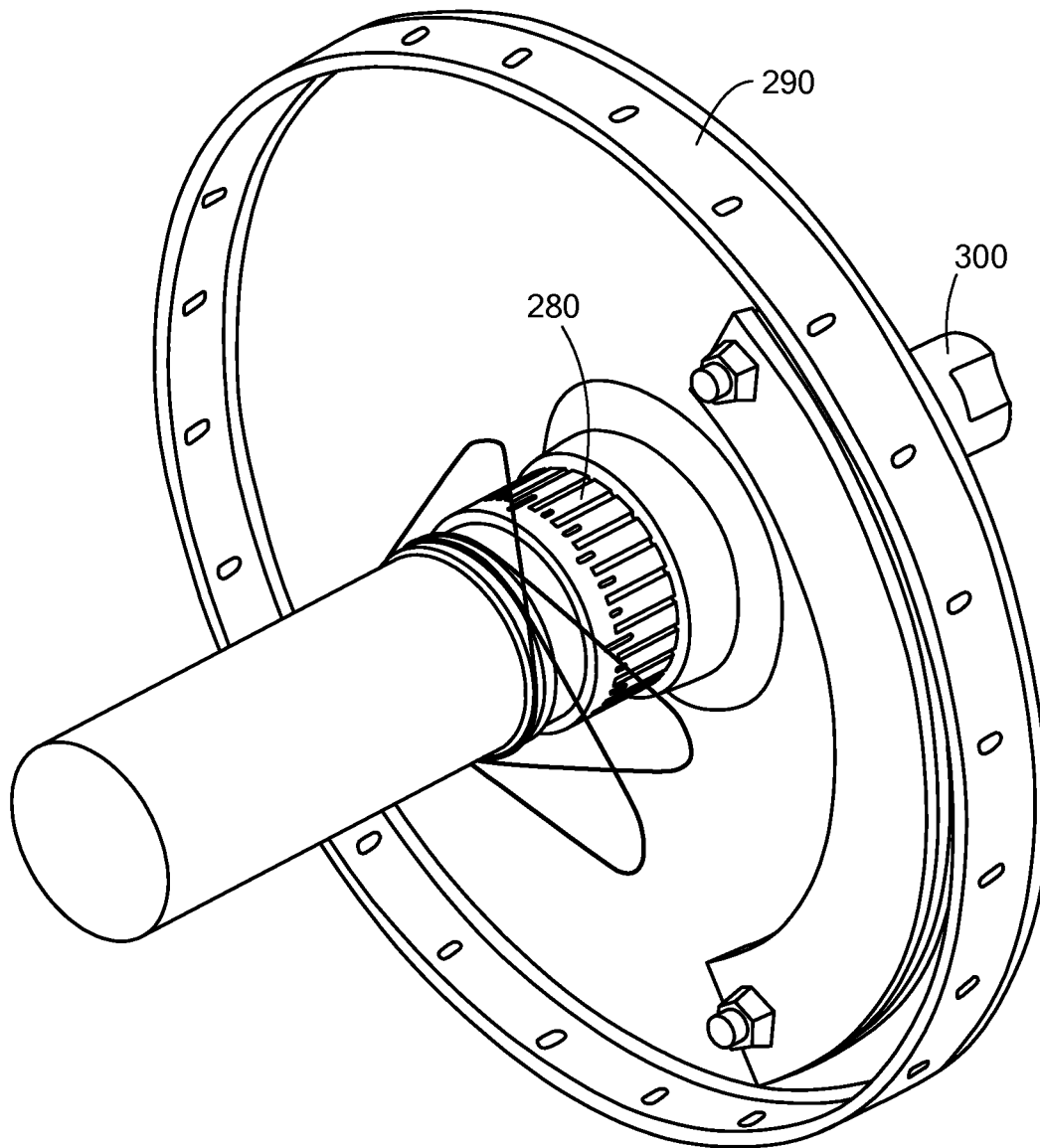


FIG. 8B

**FIG. 9**

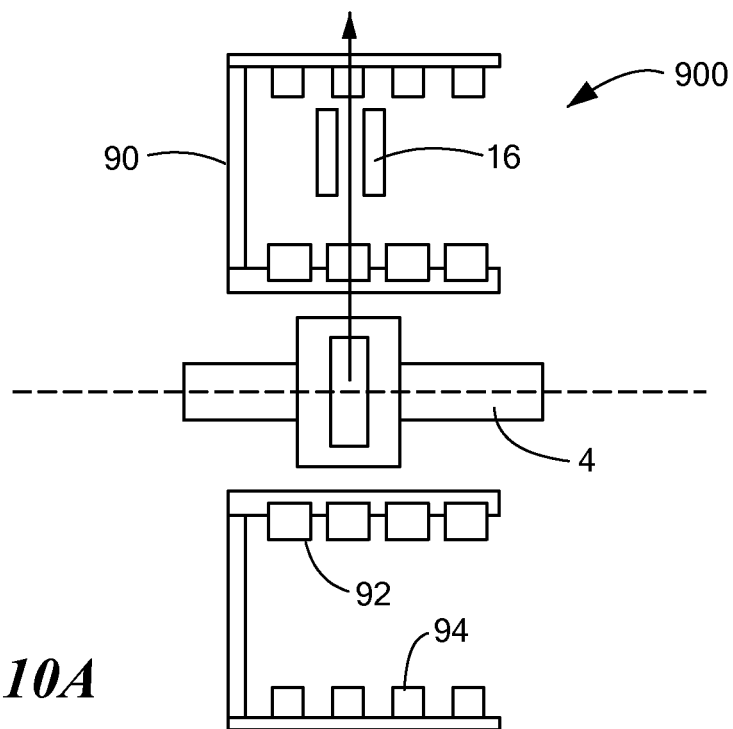


FIG. 10A

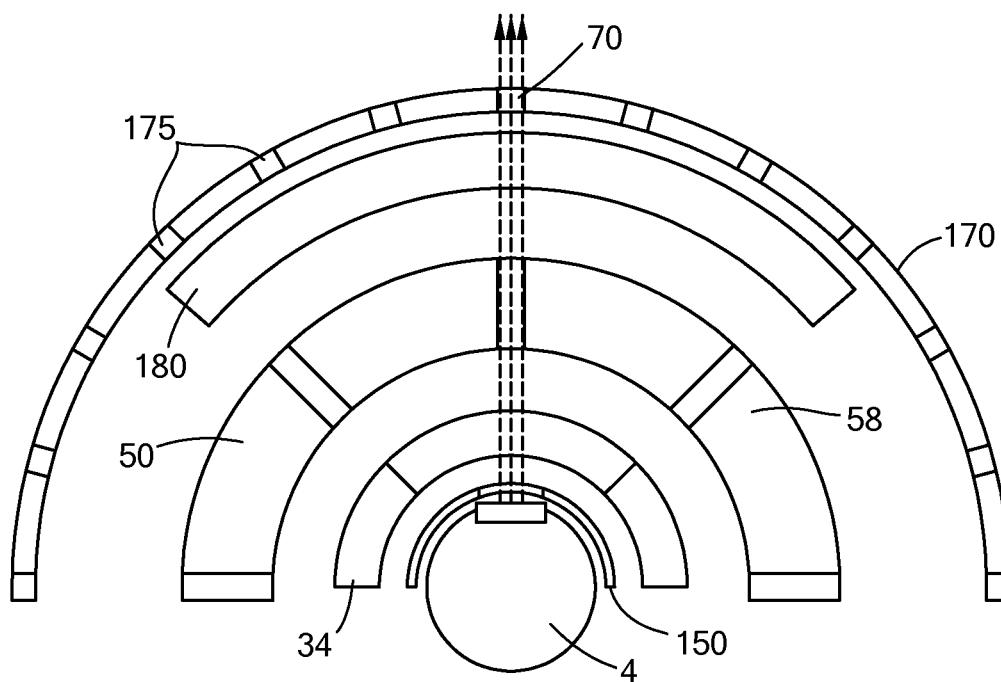


FIG. 10B

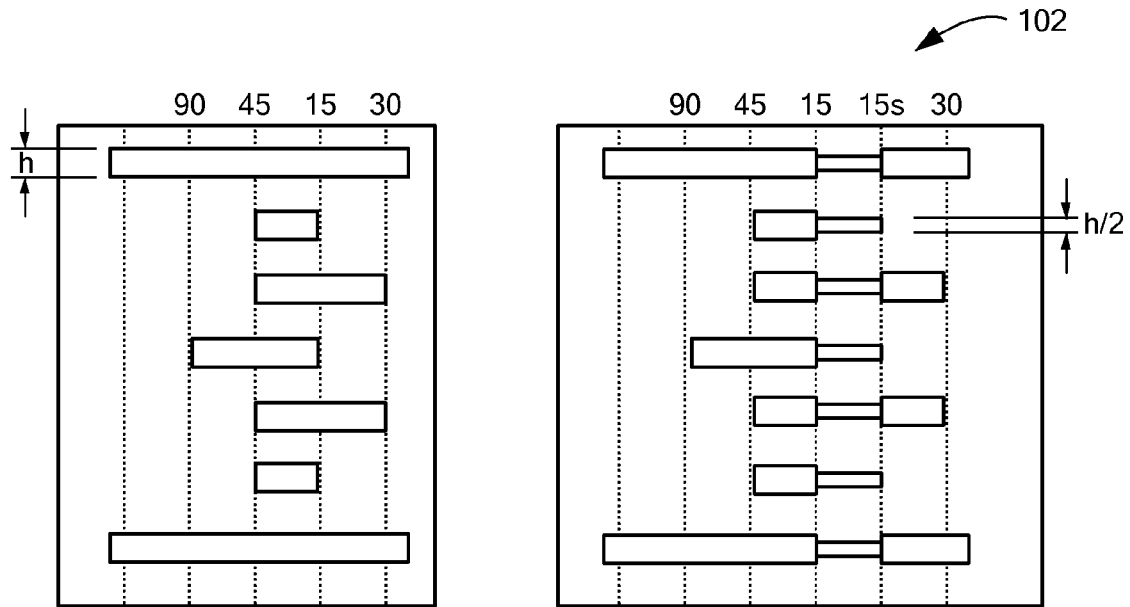


FIG. 11A

FIG. 11B

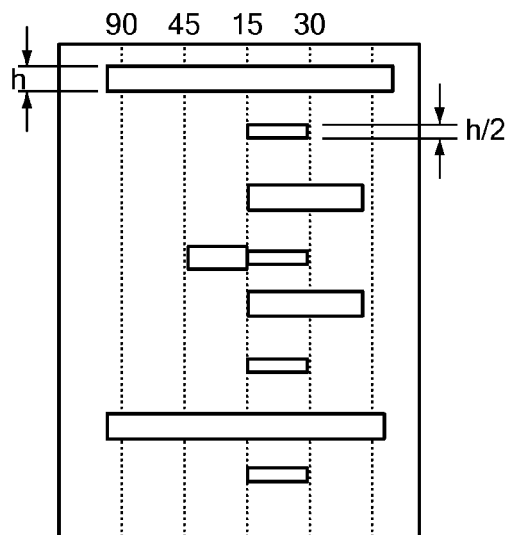


FIG. 11C

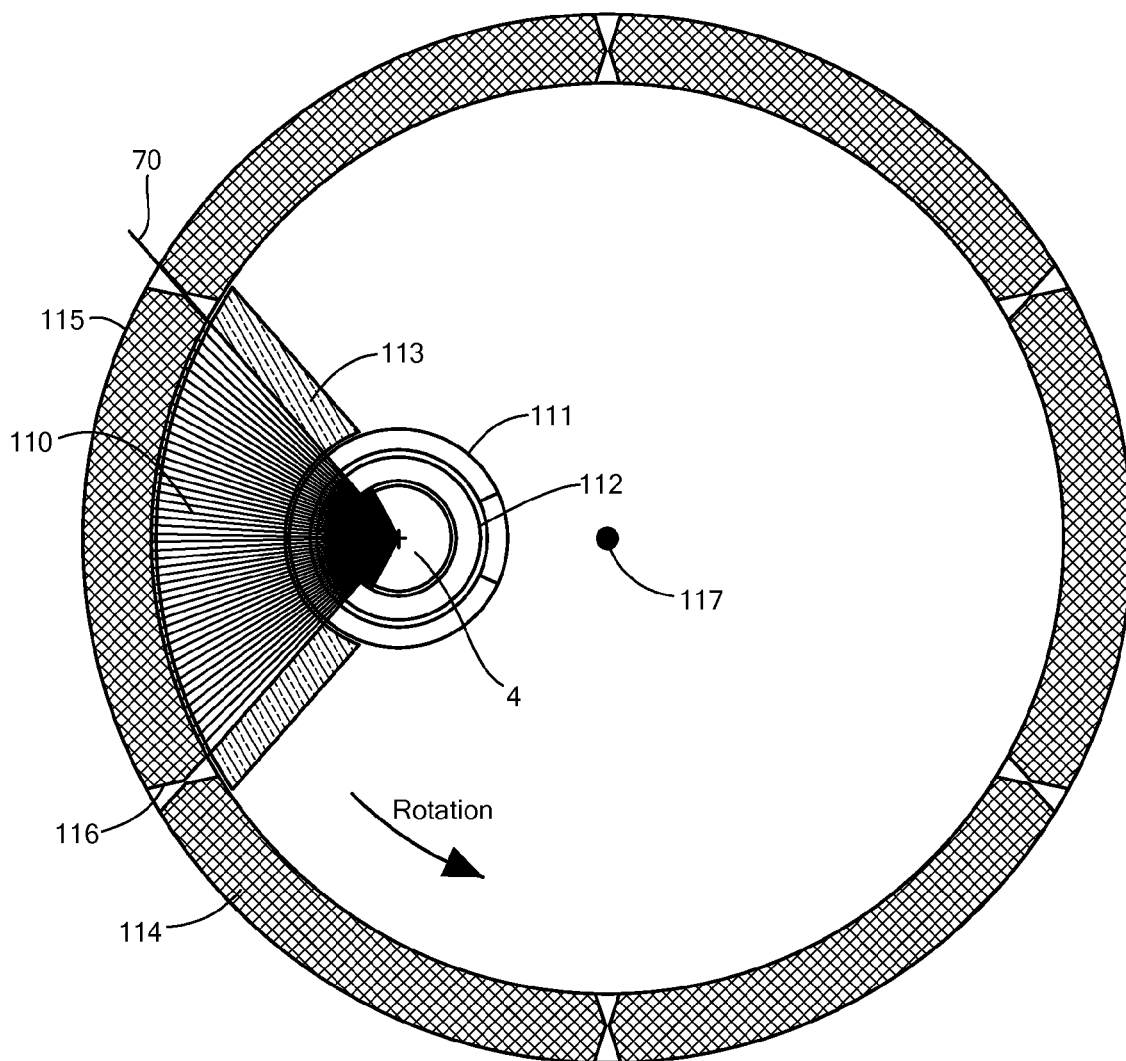


FIG. 12

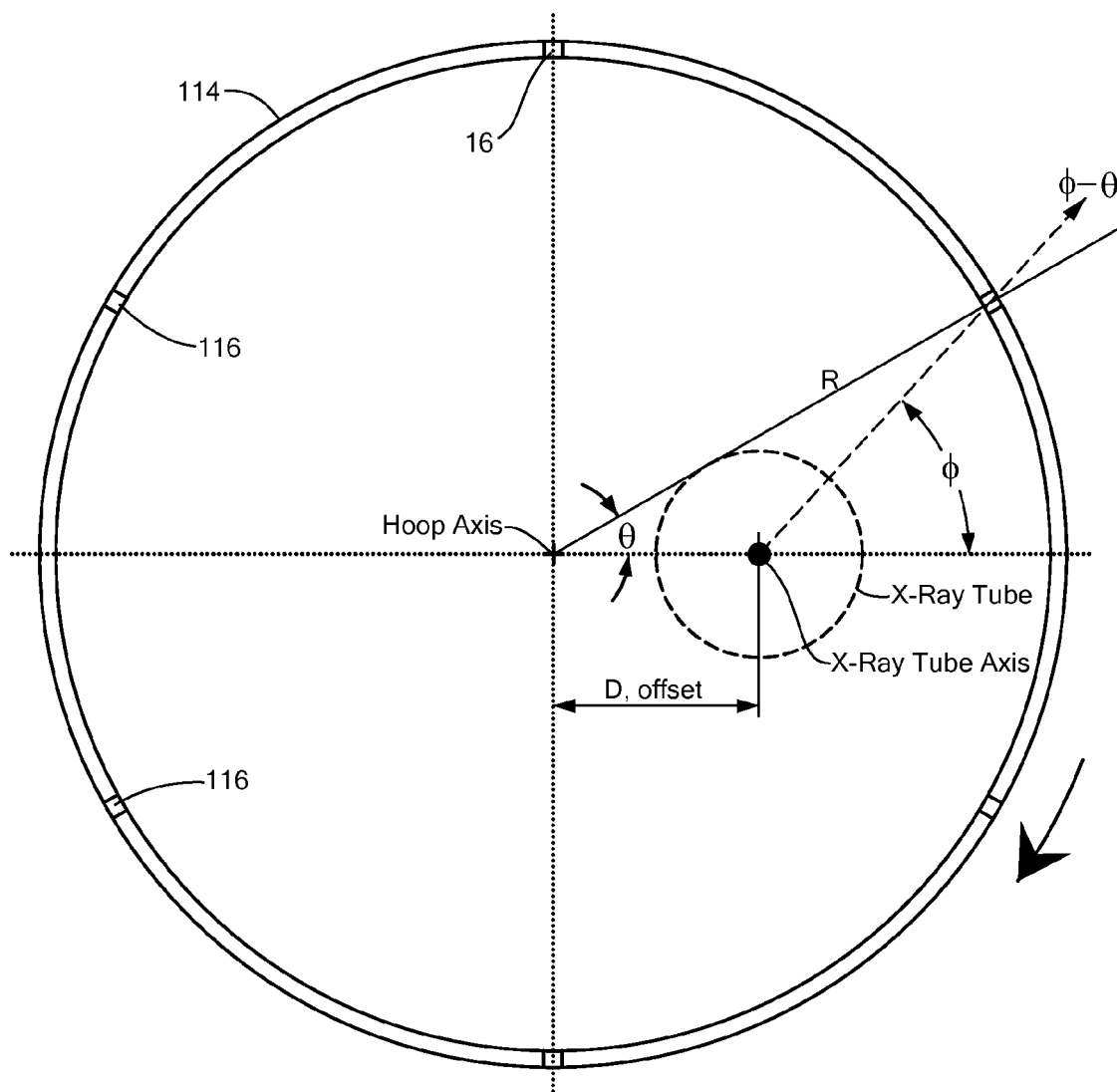


FIG. 13

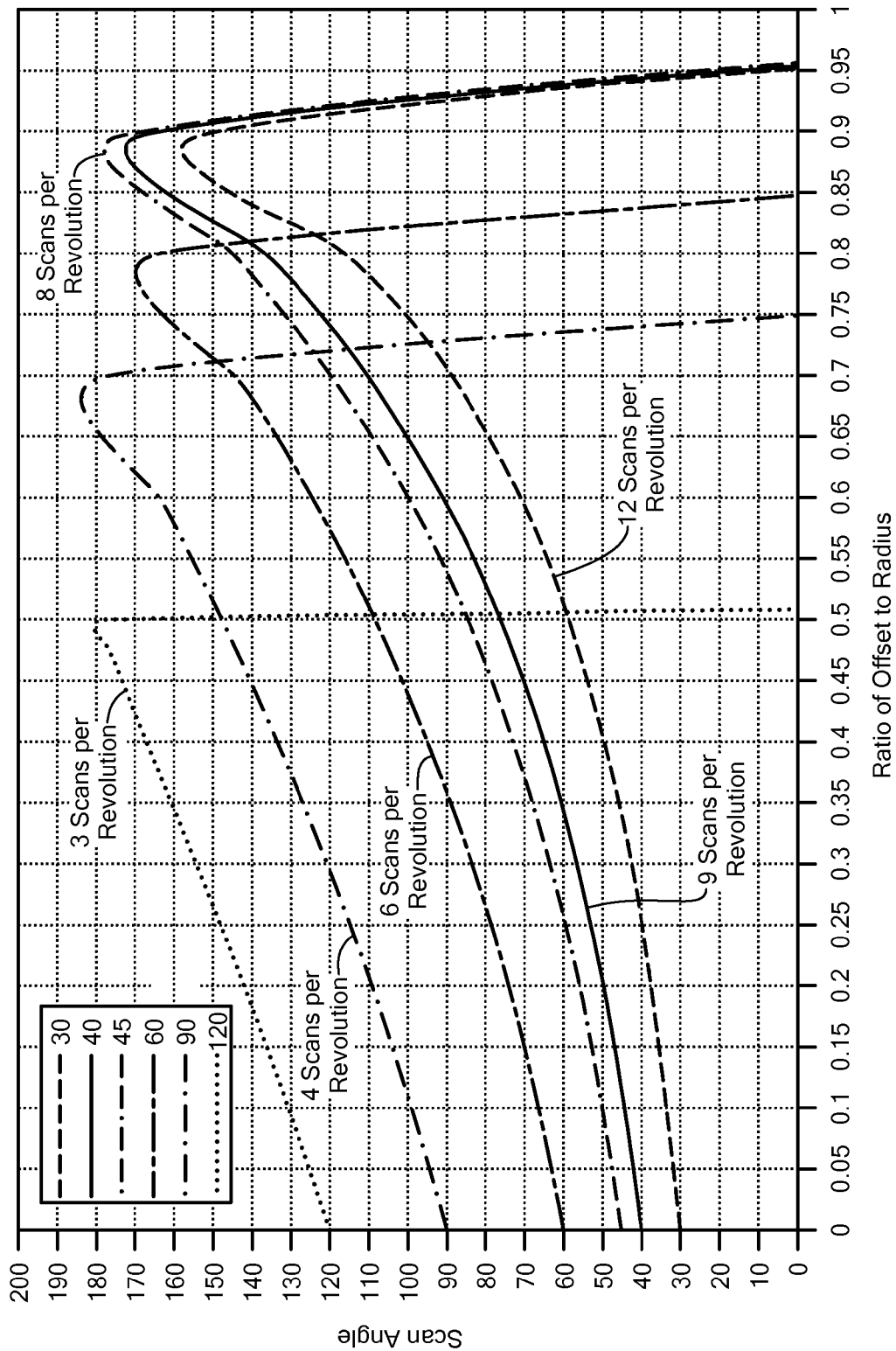
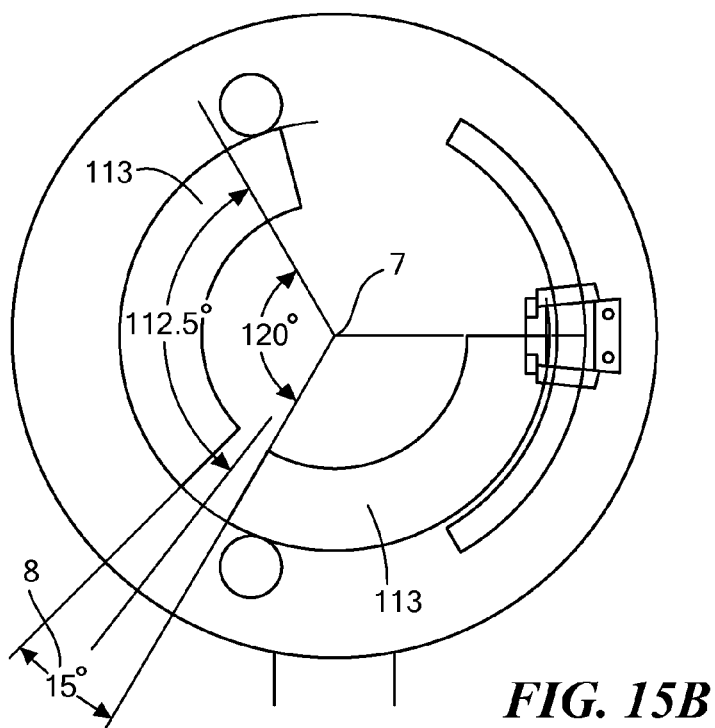
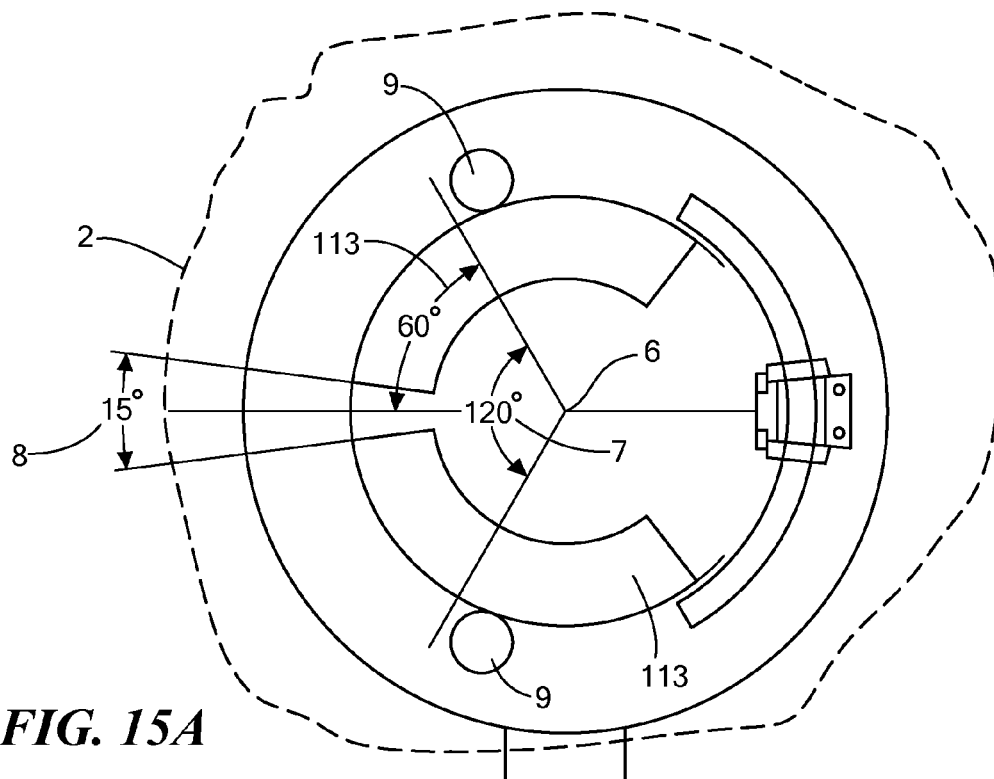


FIG. 14



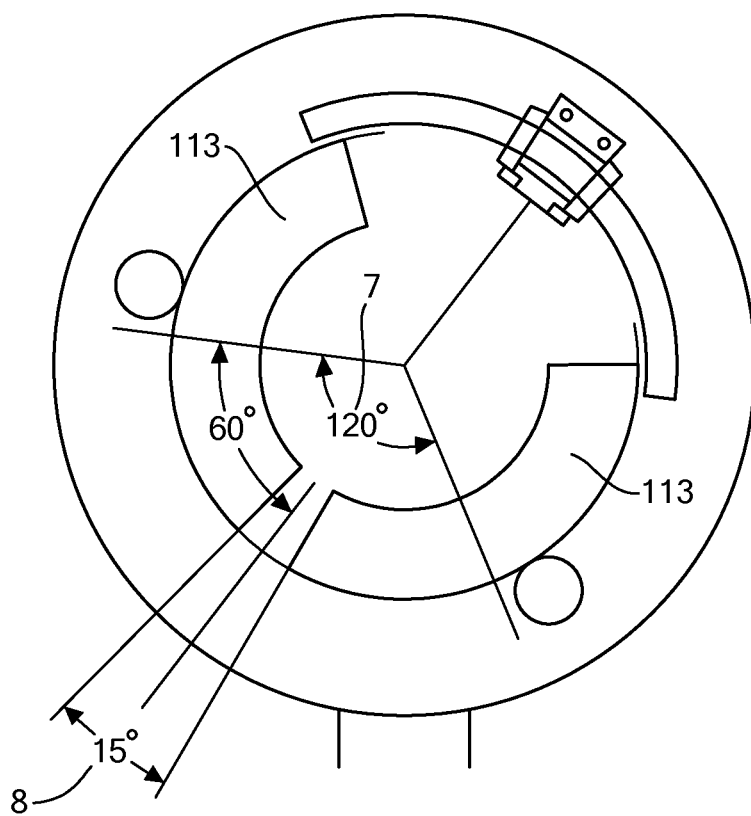


FIG. 15C

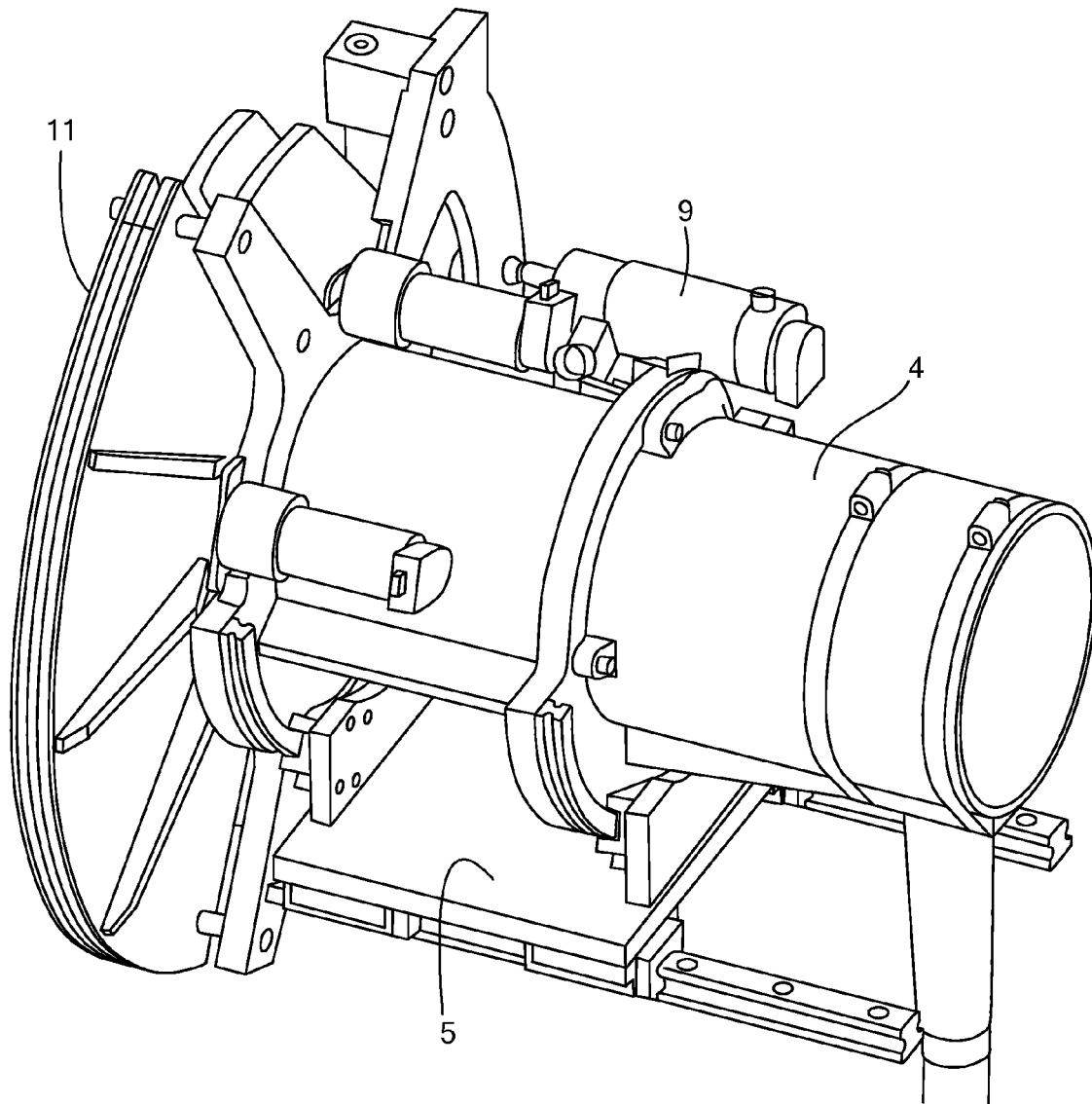


FIG. 16

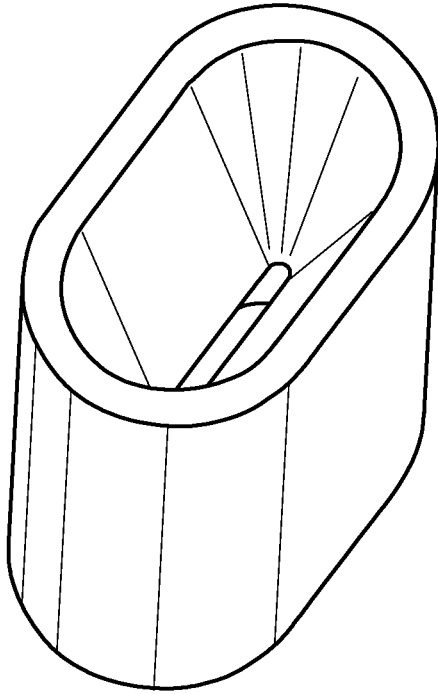


FIG. 17A

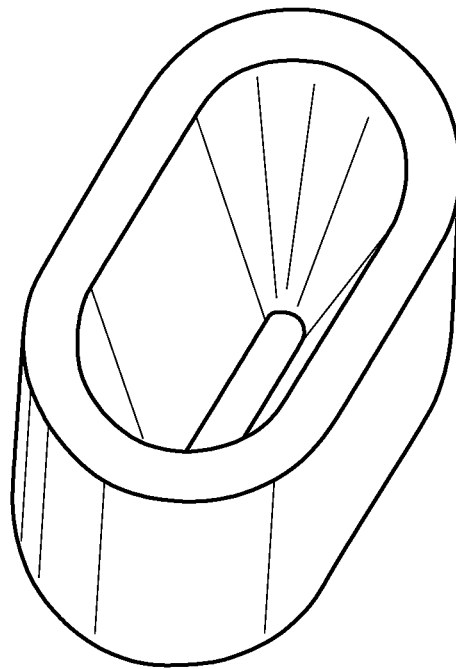


FIG. 17C

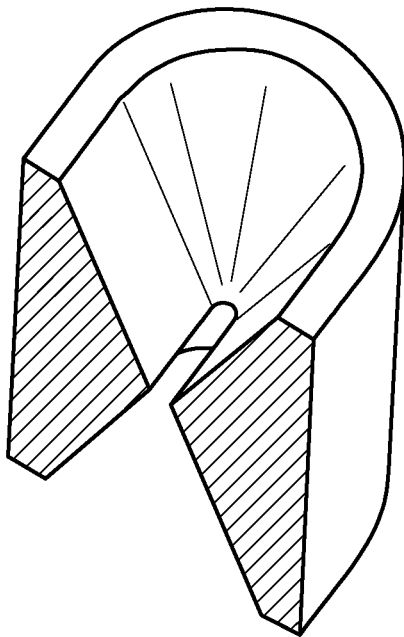


FIG. 17B

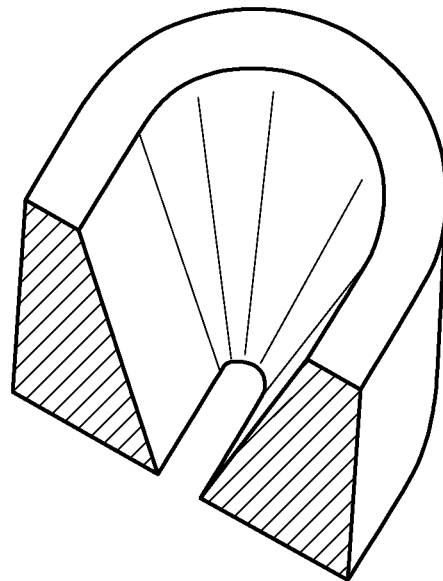


FIG. 17D

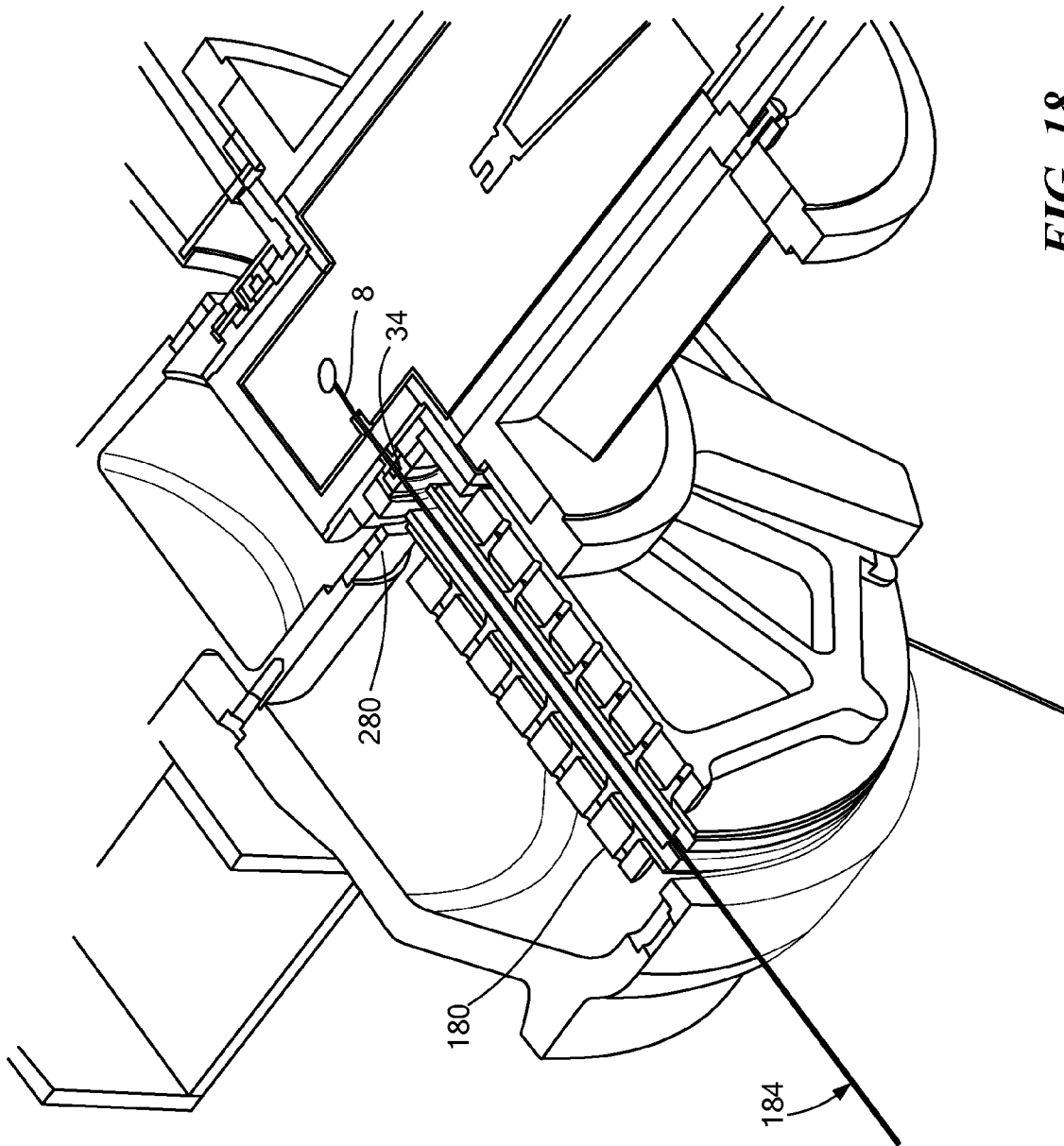


FIG. 18

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VERSATILE X-RAY BEAM SCANNER

The present application is a continuation-in-part of U.S. patent application Ser. No. 13/280,941, filed Oct. 25, 2011, and, like that application, claims the priority of U.S. Provisional Application Ser. No. 61/407,113, filed Oct. 27, 2010, and of U.S. Provisional Application Ser. No. 61/533,407, filed Sep. 12, 2011, all three of which prior applications are incorporated herein by reference.

TECHNICAL FIELD

The present invention relates to methods and apparatus for interrupting, steering and/or varying the spatial sweep and resolution of a beam of radiation, and, more particularly, a beam used for x-ray inspection.

BACKGROUND ART

One application of x-ray backscatter technology is that of x-ray inspection, as employed, for example, in a portal through which a vehicle passes, or in a system mounted inside a vehicle for inspecting targets outside the vehicle. In such a system, an x-ray beam scans the target and detectors measure the intensity of backscattered radiation as the inspection vehicle and target pass each other. During inspection that images backscattered x-rays, it would be desirable for the operator to be able to control the x-ray beam's viewing angle, viewing direction, beam resolution and filtration.

SUMMARY OF EMBODIMENTS OF THE INVENTION

In accordance with embodiments of the invention, methods and apparatus are provided for scanning a beam of radiation with respect to a target. In certain embodiments, a scanning apparatus is provided for scanning a beam in a single dimensional scan. The apparatus has a source of radiation for generating a fan beam of radiation effectively emanating from a source axis and characterized by a width. The source also has an angle selector, stationary during the course of scanning, for limiting the angular extent of the scan, and a multi-aperture unit rotatable about a central axis in such a manner that beam fluence incident on a target is conserved for different fields of view of the beam on the target. In some embodiments, there may also be an inner or an outer width collimator for collimating the width of the fan beam.

In accordance with alternate embodiments of the present invention, the multi-aperture unit may include rings of apertures spaced laterally along the central axis in such a manner that relative axial motion of the multi-aperture unit relative to the x-ray beam plane places a ring of apertures in the beam that is collimated by a corresponding opening angle in the angle selector.

In accordance with other embodiments of the invention, the angle selector may include a slot of continuously variable opening. The central axis may be substantially coincident with the source axis, or either forward-offset or rearward-offset relative to the central axis. The multi-aperture unit may include rectangular slots.

In accordance with yet other embodiments of the invention, the scanning apparatus may also have a collimator, which may either be an "inner collimator" or an "outer collimator," each of which is defined below. The collimator may limit one or both of the width of the beam or the angular extent of the scan. In the former case, the collimator is referred to as a width collimator. An inner width collimator may include two

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or more slots of different widths. The width collimator, in some embodiments, may be fixed in width during the course of scanning the beam.

In accordance with further embodiments of the present invention, the angle selector may include a plurality of discrete slots, and also a shutter position.

In accordance with more embodiments of the present invention, the source of radiation may be an x-ray tube, and may be a source of radiation of a type generating a fan beam exceeding 60° in opening angle. The scanning apparatus may also be coupled to a platform, and may have an enclosing conveyance for conveying the scanning apparatus past an inspection target. The scanning apparatus may be coupled to a platform in conjunction with at least one further scanning apparatus.

In accordance with alternate embodiments of the invention, the scanning apparatus may have a filter disposed within the beam for changing the energy distribution of the beam and/or for governing a dose of radiation incident on a target or portion of a target. The filter may be disposed on a filter tube, which may be adapted for selecting insertion of a plurality of filters and may include a beam shutter.

The multi-aperture unit may include two nested, multi-aperture collimators, and may include an inner multi-aperture hoop made of material opaque to the beam. The multi-aperture unit may additionally include rings of apertures spaced laterally along the tube axis in such a manner that relative axial motion of the inner multi-aperture hoop relative to the beam plane places a ring of apertures in the beam that is collimated by a corresponding opening angle in the angle selector. The multi-aperture unit may also include an outer multi-aperture hoop rotatable in registration with the inner multi-aperture hoop. The outer multi-aperture hoop may include a plurality of apertures configured as horizontal slots in such a manner as to define a minimum size of emitted pencil beams along a sweep direct of the beams. Where there are two multi-aperture hoops, the inner and outer multi-aperture hoops may be mechanically integral. There may be an outer variable width collimator for defining a width of the beam that enters or exits the outer multi-aperture hoop.

In other embodiments of the scanning apparatus, radiation may be emitted through a plurality of apertures at different angles with respect to the target, such that pencil beams of penetrating radiation sweep in alternation through the target in such a manner as to provide a stereoscopic view of an interior volume of the target. The scanning apparatus may have a rotation assembly adapted to provide for rotation of the source of radiation about the source axis, such as to reduce absorption of emitted radiation in the source anode, an effect referred to as the "heel" effect. The multi-aperture unit may include substantially rectangular through-holes.

In accordance with alternate embodiments of the invention, a chopper is provided for interrupting a beam of particles, wherein the chopper has an obscuring element substantially opaque to passage of the particles in the propagation direction, and at least one through-hole in the obscuring element adapted for passage through the obscuring element of particles in the propagation direction, where the through-hole is characterized by a tapered dimension in a plane transverse to the propagation direction. Finally, the chopper has an actuator for moving the obscuring element in such a manner as to cause at least a portion of the beam of particles to traverse the at least one through hole on a periodic basis.

The through-hole of the chopper may be substantially conical or substantially biconical. The particles in the chopped beam may be massless, including electromagnetic radiation over a specified range of wavelengths.

In other alternate embodiments, a chopper is provided for interrupting a beam of particles that has an obscuring element substantially opaque to passage of the particles in the propagation direction and at least one through-hole in the obscuring element adapted for passage through the obscuring element of particles in the propagation direction, the through-hole characterized by a rectangular cross section in a plane transverse to the propagation direction.

In yet other alternate embodiments, a collimator is provided for narrowing a beam of particles, where the collimator has an obscuring element substantially opaque to passage of the particles in the propagation direction, and a gap in the obscuring element where the width of the gap varies as a function of distance along the long dimension relative to an edge of the gap. The gap may be fixed or adapted to be varied in at least one of the long and narrow dimensions.

In accordance with another embodiment of the invention, a beam chopping assembly is provided that has a rotating element including at least one through-hole of rectangular cross-section.

In yet other embodiments of the present invention, a method is provided for inspecting an object based on the transmission of x-rays through an object. The method has steps of:

- a. generating a fan beam of radiation;
- b. collimating the width of the fan beam with a collimator that is stationary during the course of a scan of the object, the scan characterized by an extent;
- c. limiting the extent of the scan with an angle selector; and
- d. varying a field of view of the beam on the object by means of a multi-aperture unit rotatable about a central axis in such a manner that beam fluence incident on a target is the same per revolution for all selected scan angles.

The method may also include varying a direction of the scan, and the step of varying a field of view may include varying from a primary rapid scan to a secondary high-resolution scan of a suspect area.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing features of the invention will be more readily understood by reference to the following detailed description, taken with reference to the accompanying drawings, in which:

FIG. 1 shows an exploded view of major components of a basic unit in accordance with one embodiment of a versatile x-ray beam scanner;

FIG. 2 depicts a version of a slot inner width collimator used to control the width of a fan beam from an x-ray tube, in accordance with an embodiment of the present invention;

FIG. 3A shows a version of the angle selector that controls the angle of the fan beam from the x-ray tube, in accordance with an embodiment of the present invention, while FIGS. 3B-3E show views of a continuously variable angle selector in accordance with a further embodiment of the present invention;

FIG. 4 shows an inner multi-slot aperture unit that rotates to create the scanning pencil beam, in accordance with an embodiment of the present invention;

FIG. 5 shows an assembly view of a basic versatile beam scanner, in accordance with an embodiment of the present invention;

FIG. 6 shows a flattened depiction of the inner multi-slot aperture unit, more particularly showing an arrangement of slots to obtain 90°, 45°, 30° or 15°-views, in accordance with a preferred embodiment of the present invention;

FIG. 7 is an exploded view of the full version of a versatile beam scanner showing the addition of a filter wheel, and the outer multi-aperture hoop with slot through-holes and an outer width collimator with variable jaw spacing, in accordance with an embodiment of the present invention;

FIGS. 8A and 8B are front and perspective views of one embodiment of a collimator of the present invention;

FIG. 9 shows an assembly view of a versatile beam scanner, in accordance with an embodiment of the present invention; and

FIG. 10A is a cross-sectional depiction of an alternate embodiment of the invention in which the inner multi-aperture unit and outer multi-aperture hoop are rigidly coupled to form a bundt-cake scanner, in accordance with an embodiment of the present invention. FIG. 10B shows a schematic view of elements of a versatile beam scanner, in accordance with the embodiment of the present invention depicted in FIG. 7.

FIG. 11A shows a flattened depiction of the inner multi-aperture unit, with slots for 90°, 45°, 30° or 15°-views, all slots of identical height, in accordance with an embodiment of the present invention. In FIG. 11B, an additional ring of half-height slots is added, and FIG. 11C shows a slot pattern for obtaining two separate 15° views, both in accordance with other embodiments of the present invention.

FIG. 12 is a schematic cross-sectional depiction of a versatile beam scanner, in accordance with the present invention, with an x-ray tube in a forward offset position.

FIG. 13 depicts the geometry of a rotating aperture hoop with an axis offset with respect to an x-ray tube, in accordance with embodiments of the present invention.

FIG. 14 plots the dependence of the fan-beam angle, 2ϕ , on the ratio D/R , for forward and backward values of D , in accordance with embodiments of the present invention.

FIG. 15A-C are schematic cross-sections of an embodiment of the invention in which an x-ray source may be rotated, from a horizontal-pointing orientation in FIG. 15A to an orientation depressed by 52.5° shown in FIGS. 15B and 15C.

FIG. 16 is a perspective view of a rotatable basic unit including a rotatable x-ray source, in accordance with embodiments of the present invention.

FIGS. 17A and 17B are perspective and cross-sectional views, respectively, of a biconical aperture (or through-hole) for beam chopping, in accordance with an embodiment of the present invention, while FIGS. 17C and 17D are perspective and cross-sectional views, respectively, of a conical aperture (or through-hole) for beam chopping, in accordance with another embodiment of the present invention.

FIG. 18 depicts one example of an application of embodiments of the present invention, wherein a beam is swept in conjunction with backscatter inspection of a target object.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

Definitions. As used herein, and in any appended claims, the following terms shall have the meanings indicated unless the context requires otherwise.

“Beam resolution,” as used herein, shall refer to the product of a vertical resolution and a horizontal resolution. “Vertical” refers to the plane containing the swept pencil beam described herein, i.e., a plane perpendicular to the axis of rotation of the hoop described herein. The terms “horizontal” and “width” refer herein to the “axial” direction, which is to say, a direction parallel to the axis of rotation of the hoop(s) described herein.

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“Resolution,” in either of the foregoing vertical or horizontal cases, refers to the height (for instance, in angular measure, such as degrees, or minutes of arc, etc.) of the pencil beam when stationary on a stationary target, and the term assumes a point-like origin of the x-ray beam. Similarly, the areal beam resolution has units of square degrees or steradians, etc. Alternatively, resolution may be quoted in terms of a point spread function (PSF) at a specified distance from a defining aperture.

The “zoom angle” is the angular extent of the scanning x-ray beam in the vertical direction, designated by numeral **15** in FIG. 1.

The term “commensurate,” as applied to angular intervals, refers to intervals related by whole number ratios, such that rotational cycles of distinct components repeat after a complete revolution of one component.

The term “fluence,” unless otherwise noted, is used herein, and in the appended claims, to mean the total integrated x-ray intensity in the chosen scan angle, for each revolution of the chopper wheel. Fluence is sometimes referred to as “flux,” although “flux” may sometimes have other meanings.

The term “areal density” as applied to an x-ray beam, shall refer to instantaneous x-ray intensity per unit area delivered to a region of the target.

As used herein and in any appended claims, a collimator shall be referred to as “inner” if it lies closer to a source of radiation than any hoop of apertures rotating about an axis coinciding with, or parallel to, the axis of the source of radiation. A collimator shall be referred to as “outer” if it is disposed further from a source of radiation than a hoop of apertures rotating about an axis coinciding with, or parallel to, the axis of the source of radiation.

Preferred embodiments of the present invention provide a versatile beam scanner (VBS) (or, “flexible beam former” (FBF)), which may, particularly, refer to a mechanism in which the intensity of x-rays on a target increases inversely with the angular field of view on the target. While embodiments of the invention are described, herein, with reference to x-rays derived from an x-ray source, it is to be understood that various embodiments of the invention may advantageously be employed in the context of other radiation, whether electromagnetic or relating to beams of particles, and that all such embodiments are within the scope of the present invention.

It should also be understood that embodiments of the present invention may be applied to the formation of images of x-rays transmitted through a target as well as to the formation of images of x-rays scattered from the target, or for any application where steering and focusing a beam subject to conservation of beam fluence might be advantageous.

In particular, in various embodiments of the present invention, a versatile beam scanner may advantageously be mounted on a vehicle or conveyance of any sort, or on a portal inspecting moving objects. Moreover, multiple versatile beam scanners may be mounted on a single portal or other platform, with beams temporally or spatially interleaved to preclude or reduce crosstalk.

The resolution of a beam on a target, where the beam is formed through a collimating hoop, is determined by the target’s distance, the height of the collimation slots in the outermost hoop, and the width of the variable width collimator that is adjacent, either directly inside or directly outside the outermost hoop. Methods, in accordance with embodiments of the present invention, provide for improving an image by improving the vertical resolution of the scanning pencil beam, and providing independent views with different vertical resolutions. These are discussed in detail, below.

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In accordance with preferred embodiments of the present invention, the axial (width) resolution is controlled with a variable collimator **180** (shown in FIG. 7, and referred to herein as an outer width collimator). The angular (height resolution) is controlled by the integration time, and by two other parameters: the combination of wheel speed and scan angle, and a time constant associated with x-ray detection, namely the decay time of a scintillation phosphor. Typically, the integration time is set between 1 μ s and 12 μ s, with the number of resolved pixels in a vertical scan determined by the scan angle and rotational speed. For purposes of example, a hoop rotation rate of 3600 rpm, with 6 scans/revolution (as explained in detail below), and 500 pixels per scan, corresponds to $\sim 6 \mu$ s integration, and a beam resolution of approximately 0.1° per pixel.

Basic elements of a VBS may be separated into a first part—an inner scanner, described with reference to FIG. 1, and designated generally by numeral **2**, that is common to many embodiments, and a second part—an outer scanner **200** (shown in FIG. 7), that may be omitted for some applications. In particular, for low-energy applications, preferred embodiments employ a single scanner, and, more particularly, a single aperture ring, as discussed in detail, below. Also, for close objects, use of a single aperture ring, as described below, is preferred.

While, for purposes of explanation herein, the elements of a VBS are summarized as a series of elements with increasing radii, it is to be understood that the order of the elements in the inner scanner can be varied. Elements of the VBS may include:

- a source **4** of penetrating radiation, such as an x-ray tube, that emits a fan beam **8** of x-rays over a wide angle, preferably greater than 60° , such as 120° , and in a plane (referred to, herein, as the “vertical” plane) that is typically perpendicular to the direction of vehicle and target passage;
- a selectable filter **155**, mounted in filter tube **150** (shown in FIG. 7), for changing the energy distribution of the x-ray beam or for adjusting the radiation dose delivered to a target or to a portion of the target;
- an inner width, or slot, collimator **14** and angle selector **34** in the plane of the x-ray beam, made of material that is opaque to the x-ray beam, that control the scan angle and scan direction;
- a multi-aperture tube **50**, made of material opaque to the x-rays, which rotates through the fan beam created by the slot-collimator to create a sweeping pencil beam;
- an outer width collimator **180** (shown in FIG. 7), stationary during scanning, having an adjustable jaw width **185** that controls the horizontal width of the x-ray beam that inspects the target; and
- an outer multi-aperture hoop **170** (shown in FIG. 7) that rotates in registration with the inner multi-aperture unit.

It is to be understood that the versatile beam scanner described herein may operate with a solitary hoop or ring of apertures. In that case it may be advantageous to place a variable width collimator outside the hoop or ring. In the case where both an outer hoop and an inner ring are employed, the beam-forming requirements of the outer hoop are advantageously reduced, since the beam incident on the outer hoop is already collimated to a pencil beam. Thus, x-ray opaque material need only be provided around the apertures of the outer hoop **170**.

One application of a versatile beam scanner, designated generally by numeral **3**, is depicted in FIG. 18, solely by way of example, and without limitation. X-ray source **4** is mounted on an x-ray inspection vehicle **180**, providing trans-

verse motion relative to a target of inspection **181**. By operation of source **4** and scanner **3**, x-ray beam **182** is scanned across target **181**, and backscattered radiation **184** is detected by detector modules **100**, with one or more detector signals generated by detector modules **100** subsequently converted by a processor **180** into an image of contents of target **181**.

Referring to FIG. 1, the selectable widths of slot **22** (and **24**) of slot collimator **14** defines the width of fan beam **8**, which is emitted from x-ray tube **4** and effectively emanates at, or near, a source axis **6**. The maximum opening angle of fan beam **8** is the x-ray tube's beam angle; it defines the maximum angular sweep **15** of the pencil beam. The opening angle for inspecting target (shown in FIG. **18**) can be changed, either by the operator, or by operation of processor **188** (shown in FIG. **18**). The opening angle may be changed in fixed steps commensurate with 360°, with the maximum angle, as stated, limited by the x-ray tube's beam angle. The angle selector **34** can be rotated to change the direction of the sweep. Angle selector **34** typically remains fixed during the course of scanning.

Angle selector **34** has rings of apertures **40** (best seen in FIG. **3A**) that define the angular extent of the scan of the pencil beam **70**. The combination of the slot collimator **14** and the apertures **56** in the aperture ring **50** defines the cross-section of pencil beam **70** (shown in FIG. **4**). Each lateral ring of apertures **40** corresponds to one of the quantized opening angles of variable-slot collimator **14**. When one of the opening angles of slot collimator **14** is chosen, angle selector **34** is moved laterally to place the appropriate ring of apertures in the beam. The number of apertures in each ring is commensurate with 360°. Alternatively, angle selector **34** may provide for continuous variation of opening angle from closure (as shown in FIG. **3B**) to an opening of 120° (as shown in FIG. **3E**), with other opening angles shown by way of example.

The zoom angle, i.e., the angular extent of the scanning x-ray beam, may be determined by the lateral position of the spinning inner multi-aperture unit **50** and outer hoop **170**. "Lateral," as used herein, refers to a position along an axis parallel to the axis **6** about which components **50** and **170** rotate. In order to change that lateral position (and, thereby, the zoom angle), the offset of the plane of the fan beam is varied (in a step-wise fashion) with respect to the plane of apertures that define the zoom angle. (The offset is relative; either the beam or the aperture plane may be moved.) In a preferred embodiment of the invention, the aperture devices, which are rotating at high speed, are not be translated, but, rather, the rest of the beam forming system is translated with respect to rotating aperture devices, however, it is to be understood that either configuration falls within the scope of the present invention.

When the target (not shown) is distant from the inner scanner **2**, the outer unit **200** may preferably be used to further define the cross-section of the pencil beam at the target. Referring now to FIG. **7**, the outer unit **200** consists of a slot-collimator **180** (shown in FIG. **7**) to refine the width of the scanning beam, and a rotating hoop **170** with apertures **175** to refine the height of the pencil beam **70**. The apertures **175** in the outer hoop **170** are equally-spaced, and their number is equal to the maximum number of apertures in a ring of the inner multi-aperture tube **50**. The number is also commensurate with the number of apertures in each of the rings of the inner beam-forming unit. The outer hoop is light-weight, thereby advantageously reducing its rotational moment of inertia. The beam defining apertures are typically tungsten inserts.

The slotted outer width collimator **180** (shown in FIG. **7**), with adjustable jaw width, controls the horizontal width of the

x-ray beam that inspects the target, and is stationary during scanning. The slot collimator, **180**, shown interior to the aperture ring **170**, may also be exterior to it, within the scope of the present invention.

One novel and advantageous feature of embodiments of the present invention is the focusing feature. The decrease of the scan angle—in order to focus on a portion of the target—results in a corresponding increase in the beam intensity, since the number of slots illuminated by the source per revolution of the hoop increases as the scan angle decreases. Thus, the resulting beam fluence on the target is the same per revolution for all selected scan angles. This means that the areal density (defined above) of x-rays in a 15° view is six times greater than in a 90° view of the target. A further novel feature is the operator's ability to change the cross-section of the scanning pencil beam by moving the jaws of the fixed collimator **14**, or the variable collimator **180**, to change the width of the image pixel, or changing the integration time of the detected signal to change the height of the image pixel. Yet another novel feature is the operator control of the viewing direction of the x-ray scan.

In accordance with certain embodiments of the present invention, angle selector **34** and/or aperture ring **50**, and/or variable collimator **180** may be selected automatically by processor **188** on the basis of the proximity of inspected target **181** (shown in FIG. **18**), and the height or relative speed of the inspection system and inspected target. One or more sensors **186** (shown in FIG. **18**) may be used to determine one or more of the foregoing parameters. Imaging data may also be used for that purpose. Similarly, filter **155** and collimator **180** may also be adjusted on the fly, such as to control a radiation dose on the basis of human occupancy of the inspected target, for example.

The flexible beam former, in accordance with the various embodiments taught herein, may be advantageously applied to the formation of images of x-rays transmitted through a target or to the formation of images of x-rays scattered from the target. It can be applied to a scan taken by rotating the scanning system. It can be implemented by manual changes carried out when the scanner is turned off, though the preferred embodiment is for changes carried out during the scan and even automatically in response to programmed instructions.

The versatility of the x-ray scanners taught herein allows the operator to obtain the most effective inspection for targets at distances and relative traversal speeds that can each vary over more than an order of magnitude.

Without loss of generality, the apparatus and methods described herein may be applied here to image formation of x-rays backscattered from a target that moves perpendicularly at constant speed through the plane of the scanning pencil beam.

Embodiments of the invention, in several variants, are now described with reference to FIGS. **1** to **8**. In a preferred embodiment, described with particular reference to FIGS. **1-7**, a single beam of x-rays is produced, under operator or automatic control, that scans the target through selected field-of-view angles of 90°, 45°, 30°, or 15°, with a chosen cross-section, at the target. The 90° opening is the normal position; the three other openings provide 2×, 3× and 6× zooming. Of course, it will be understood that the basic concepts described herein may readily be applied to applications that may involve a different number of different scanning angles as well as different x-ray energies. The concepts can also be applied to the creation of beams that scan at different inclination angles through the target.

Referring to FIG. 1, a scanning apparatus is designated generally by numeral 2. An x-ray tube 4 produces a fan beam of x-rays 8 that is emitted perpendicular to the x-ray tube axis 6. An angle-defining unit 10, which is stationary during a beam scan, intercepts the beam 8. The angle-defining unit 10 defines the width and angle of the fan beam, either through operator control or automatically according to external criteria. In a preferred embodiment, the angle-defining unit 10 is a variable slot shown in a simplified version in FIGS. 3B-3E. Angle-defining unit 10 is opaque to the x-ray beam 8 except for the continuously-variable slot 41 (shown in FIG. 3C, by way of example), whose opening angle and pointing direction may be controlled by servo motors. FIG. 3B shows the slot closed, while FIGS. 3C-3E show opening angles of 15°, 60° and 120°, respectively.

It should be noted that alternate methods for obtaining the versatility provided by tubes 14 and 34 are within the scope of the present invention. Further versatility can be provided by rotating the entire x-ray producing unit including the x-ray tube itself, as further described below.

Angle-defining tubes 14 and 34 can be rotated so that opaque sections of both tubes intercept the exiting beam without shutting down the x-ray tube or the beam-forming wheels. Rotation of the unit 10 allows the sweeping beam to point in any directions inside the maximum fan beam 8 from the x-ray tube. Further versatility in aiming the fan beam can be obtained by rotations of the entire x-ray generator. Angle selector 34, or another element, may serve as an x-ray shutter, whose power-off position is closed, to shutter the x-ray beam to comply with safety regulations. The shutter can be combined with other features such as the filter changer. More particularly, filter tube 150 (shown in FIG. 7) may have multiple angular positions, one of which (such as its "parked" position) may include an x-ray-opaque element serving as a beam shutter.

Sweeping pencil beams 70 are formed by a tube 50 with apertures 56 (best seen in FIG. 4) that rotates through the fan beam created by the inner collimators collectively labeled 10. Tube 50 is made of material opaque to the x-rays. The height of apertures 56 together with the width of slot 22 or 24 define the cross-section of pencil beam 70 that exits from the scanner 2.

In the preferred embodiment of tube 50, the apertures are slots 56 rather than the traditional holes. The apertures of tube 50 and hoop 170 may be slots in both cases. Slots 56 are arranged in a pattern that is determined by the maximum scan angle and the number of smaller scan angles in the design. The total number of slot apertures is commensurate with 360°. The scan angles are also commensurate with 360°. FIG. 6 shows the pattern in a depiction in which the multi-aperture tube 50 is stretched out as a flat ribbon 80. The aperture slots 84 are dark gray horizontal bars, while the beam position is a light gray ribbon. The slots are arranged in the 4-choice example above: 90°, 45°, 30°, and 15°. Ribbon 80 has a four-fold repeat pattern of 6 slots, making a total of 24 slots along the circumference. The slots are arranged so that each of the 4 angular openings, 90°, 45°, 30° or 15°, can be placed in the beam 70 by moving the tube 50 laterally.

Variable Beam Scanner for distant targets. The basic unit 2 (shown in FIG. 1) has applications for inspecting targets that are close enough to the beam-forming aperture for the scanning x-ray pencil beam to create a useful image. An x-ray inspection system, mounted inside a vehicle, and used, for example, to image targets outside the vehicle, requires, in practice, an additional beam forming aperture to usefully inspect targets outside the vehicle.

As a rule of thumb, with many exceptions, the beam-forming aperture 175 (in FIG. 7) should not be much further from the target than five times the distance from the x-ray tube's focal spot to the beam-forming aperture; the closer the better. The basic unit 2, shown in FIG. 1, can, in principle, be used for distant objects by making the diameter of the multi-aperture tube 50 as large as necessary. This approach can be useful for low-energy x-ray beams that can be effectively shielded by relatively light-weight hoops. For x-ray energies in the hundreds of keV, which require thick shields of high-Z material, a large radius results in a large rotational moment of inertia, which in turn limits the rotational speed of the beam scanner, and that in turn limits the speed with which the inspection unit can scan the target.

The solution to the aforementioned difficulty is to use the multi-aperture tube 50, constructed of x-ray-opaque material, as an initial collimator and add a light-weight, rotating large-diameter outer hoop 170, and another stationary outer width collimator 180 to refine the cross section of the pencil beam. This concept is illustrated in FIGS. 7 and 8. Before describing these figures, the importance of this approach is further elaborated.

The rotational moment of inertia of a hoop is proportional to MR^2 , where M is the mass of the hoop and R is its radius. The mass M required to effectively absorb an x-ray beam of a given energy is itself approximately proportional to the radius R since the thickness of the needed absorber is approximately independent of radius. Thus the rotational moment of inertia of the multi-aperture hoop is approximately proportional to the cube of the hoop's radius. Example: An 8" OD tube made of 1/2" thick tungsten has a rotational moment of inertia that is 25 times smaller than that of a 24" OD tube made of 1/2" thick tungsten. (The thicknesses correspond to 20 mean free paths (mfp) of absorption at 180 keV, i.e. an attenuation of $\sim 10^9$.) Combining the smaller radius tungsten tube with an outer hoop made almost entirely of light-weight material results in a significantly lower moment of inertia of the system, hence a higher maximum rotational speed.

FIG. 7 is an exploded view showing the elements of a preferred embodiment for distant targets. Each element is considered in turn. Basic unit 2 is the same as that shown in FIG. 1 except for the addition of an x-ray filter 150 in the form of a thin tube that surrounds x-ray tube 4. An empty slot in one quadrant of the filter tube 150 allows the full x-ray fan beam 8 to emerge. Filter tube 150 can be rotated so that different filters can intercept the fan beam to change the energy distribution or the deposited dose at the target, or to block any emergent beam entirely. For example, a truck may be scanned with an automatically inserted filter 155 to reduce the dose when the passenger compartment is being scanned. The variable filter tube may be omitted if the application does not require changing the energy distribution of the x-ray beam.

The maximum opening angle of the scanning beam is defined by the slot collimator 14 with its discrete set of slots or the continuously variable slot 41 shown in FIGS. 3B-3E, whose angular extent is controllable. As above, an inner aperture ring coarsely generates a square flying spot by passing a slot (up to 24 slots per revolution in the examples herein) across the fan-beam slit. After the beam passes out of the inner aperture ring 58, it travels until it encounters a pair of jaws 180 that has an adjustable gap 185. These jaws (which may also be referred to as the "outer width collimator," or as a "clamshell collimator") redefine the width of the beam and enable the final spot width to be adjusted if necessary or desired. A hoop 170 rotates in registration with the inner multi-aperture tube 58. The number of the equally-spaced apertures 175 in hoop 170 is equal to the largest number of apertures in the rings 58

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of tube **50**; in this example, there are 24 slots **175** spaced 15° apart. The length of the slots **175** is larger than the zero-degree slot width of tube **50**; that is, the length is greater than any of the slots in the inner multi-aperture tube **50**. The outer hoop **170** is preferably supported by duplex bearings on the far side. Various elements of the embodiment depicted in FIG. 7 are shown schematically in FIG. 10, for further clarity.

One of various alternate embodiments of the present invention is now described with reference to FIG. 10A. In what might be referred to as a “bundt aperture system, designated generally by numeral **900**, multi-aperture tube **280** and the multi-aperture hoop **290** (of FIG. 9) are a single unit **90**. Inner apertures **92** and outer apertures **94** co-rotate about x-ray source **4**. Adjustable jaws **16** may be disposed between the co-rotating sets of apertures. The bundt configuration, shown in an assembly view, may not have the versatility of the embodiment depicted in FIG. 7, and it may have a larger rotational moment of inertia, but it does have the mechanical advantage of simplicity in changing the sweeping angle, from say 90° to 15°, by step-wise translation of the bundt **90** and its drive motor, which is coupled to shaft **300** (shown in FIG. 7). Different scan angles are selected by translating the bundt scanner so as to register a selected plane of bundt slots with the plane of the fan beam. In accordance with yet another embodiment of the present invention, the bundt and drive motor may remain fixed while the rest of the unit is translated.

The embodiments described above are but a few of the permutations that embody the basic concept of an operator-controlled, multi-slot collimation coupled with a multi-aperture pencil-beam creator. For example, the three basic components—width collimator **14**, angle collimator **34** and multi-aperture unit **50**—can be permuted in any of the six possible configurations, the choice being made on the basis of application and mechanical design considerations. One alternate configuration would have the x-ray beam traversing unit **34** first, then unit **14** and finally unit **50**. Another has the x-ray beam traverse the unit **50** first, then unit **14** and then unit **34**. Similarly, the beam may traverse the aperture ring **170** and then the variable collimator **180**.

It should be noted that among the variations that retain the fundamental concepts of zooming with variable beam resolution is the reliance of the variable angle collimator **34** to act also as the first width collimator, thus eliminating the separate width collimator **14**. This simplification comes at a cost of some versatility (e.g. the number of opening angles are more restrictive) but may be useful for some applications, in particular when using the outer tube configurations of FIG. 7 or FIG. 10B in which the width of the beam at the target is controlled by the variable gap **180** in FIG. 7 or **16** in FIG. 10B.

Filter wheel **150** may provide a variable filter to change the radiation dose delivered to the target or to modify the energy distribution of the x-ray beam. Filters may also be incorporated in the slots of the variable angle tube **34** to place filters in the 45°, 30° and 15° slots that progressively increase the filtration of the lower energy components of the x-ray beam, to reduce the dose without significantly affecting the higher energy components of the x-ray beam. It should also be noted that filter wheel **150** may be omitted, for example, for applications in which the inspection is always carried out on inanimate objects. Additionally, filters may be incorporated into a subset of the slots, such as into alternating slots, for example.

In still another configuration, hoop **50** has a larger number of apertures such that multiple apertures are illuminated by fan beam **8**, producing two pencil beams **70** that sweep in alternation through the target at different angles to obtain a

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stereoscopic view of the interior. This application uses a wide fan beam and an appropriate multi-aperture unit and slot collimators.

Improving an image by improving the vertical resolution of the scanning pencil beam. In the discussion, supra, with reference to FIG. 7, slots **175** of rotating outer hoop **170** are all the same height, *h*, as depicted in FIG. 11A for one set of slots for the four different scan angles, 90°, 45°, 30° and 15°, in the example of a preferred embodiment. However, to change the height resolution, in accordance with alternate embodiments of the present invention, the slot heights in the outermost rotating aperture hoop must be changed, as illustrated by the following three examples.

FIG. 11B shows an additional ring **102** of half-height slots added to the 15° ring of apertures. The operator can select either the 15° or the 15s° lateral position; the latter reducing the height of the beam at the target by a factor of two. The width the slots in the aperture hoop has been increased by about 3 mm to accommodate the extra ring of apertures. In a preferred embodiment, 4 rings of apertures are maintained, but the heights of all the slots in the 15° ring are halved. This mode uses half of the six-fold gain in areal intensity of x-rays on the target, compared to the 90° view, to improve the vertical resolution by a factor of 2.

In another embodiment of the invention, rings of apertures of different heights are added to the 90° viewing angle. That allows automated changes in height resolution as a function of the target distance. A target passing at a distance of 5 ft. might be most appropriately scanned with the aperture ring that has 1-mm slot heights, while a target passing at 3 feet might be more appropriately scanned with a 0.5-mm resolution. It should be clear that, within the practical constraints of weight and size, more than one of the above examples can be accommodated on a single rotating hoop.

Two Independent Views with different vertical resolutions. Embodiments of the present invention may also be used to simultaneously obtain two (or more) images each with its own vertical resolution. FIG. 11C shows a slot pattern for obtaining two separate 15° views. Alternate 15° sweeps form one image with a vertical resolution *h*, and another image with a vertical resolution *h*/2, or smaller. Improved spatial resolution can be essential for resolving issues of interpretation in the image.

Dual Energy. In other embodiments of the present invention, filters may be placed in all, or in a subset of, the slots of one of the arrays of slots, with either the same or different vertical heights, to change the x-ray energy distribution impinging on the target. In the slot configuration of FIG. 11C, a filter in the alternate slots of the 15° scan can produce a separate view that minimizes the lower-energies that inspect the target and thus enhances the image of deeper penetrating radiation. If all the slots in the 15° scan have the same height, a filter placed in alternate slots may yield new information, including material identification, when the filtered image is compared with the unfiltered energy image.

The two-view or dual-energy modes are achieved to particular advantage in accordance with the present invention. The aperture hoop **170**, rotating at the nominal speed of 3600 rpm, makes a 15° scan every 680 microseconds. A target vehicle, moving at the nominal speed of 5 kph, travels ~1 mm during that scan, which is much smaller than the beam size at the nominal target distance of 5 feet. As a consequence, the two views will be within 10% of overlap registration. The above calculation indicates that even when no provision is made to change the height of the pencil beam, the slots in the beam-resolution defining hoop should not have the same heights. The correct heights will depend on the application.

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Horizontal resolution. For distant targets, where two concentric rotating hoops (50 and 170) of apertures are employed, the horizontal resolution is determined by the slit width 185 of the outer slot collimator 180. The plates that form the width collimator are controlled by servo-motors. In a preferred embodiment, the width collimator is in the form of a clamshell whose jaw opening is controlled by a single motor near the clamshell's hinge. The width may be controlled by the operator or may be automatically changed as a function, for example, of the relative speed of the inspection vehicle and the target. For inspection of close targets it may not be useful or desirable to use the outer hoop 170 and the outer slit 125. In that case the horizontal resolution would normally be controlled by changing the width of the 90° slot 24 of the inner tube 14, though other methods will be apparent to those familiar with mechanical design. The width of slot 24 for the preferred embodiments is nominally 2 mm wide or less, though any slot width falls within the scope of the present invention.

The variable width collimator may also be designed to minimize the non-uniform intensity of the fan beam across the angular range of the fan. The fan beam from an x-ray tube typically exhibits a roll-off in intensity away from the central axis. For a wide-angle fan beam, with angular extent of 90° or more, the roll-off in intensity from the central ray can be 30% or more. In FIGS. 8A and 8B, the variable width collimator 180 has a non-uniform gap 185. The gap width increases away from the midpoint. For clarity the gap is exaggerated in the depiction. The shape of the opening can be tailored to the angular distribution of the x-rays from the x-ray tube; such data is generally supplied by the tube manufacturer.

Dwell Control. Prior discussion has concentrated on the aspect of the zoom feature, taught herein, which allows for changing the viewing angle while preserving the fluence incident on the inspected target. A concomitant aspect of the zoom feature is that the variation with zoom of the number of scans per unit time has its own advantages and applications. When used without changing the collimation, but especially when combined with the variable collimator, the inspecting beam can be made to spread evenly over the target so as to minimize undersampling and oversampling.

Undersampling occurs when the beam moves too quickly to allow resolution of a pixel as defined by the beam cross section, thereby resulting in missing information. The combination of variable viewing angle and variable scans per unit time (or, equivalently, dwell time per pixel) is a powerful way to obtain higher throughput with minimum undersampling. In preferred embodiments of the invention, the highest number of scans per revolution for the desired angle of scan is used, and the collimator is opened to the largest acceptable spatial resolution.

Oversampling, which is not so serious a problem as undersampling, can be traded for better resolution. When transverse motion of the source relative to the target is slow, the collimator slot may be narrowed and the integration time diminished to provide even sampling with improved resolution.

Offset Hoop. U.S. Provisional Application Ser. No. 61/533,407 introduces the concept of backscatter x-ray inspection (BX) by a scanning pencil beam of x-rays produced by an electron beam whose the axis is offset from the axis of rotation of a rotating ring of apertures that forms the scanning beam. Offsetting a source behind the axis of rotation of an aperture hoop had been known. The novel forward-offset concept has inherent advantages, as in the application of x-ray inspection portals, where its effectiveness for faster scanning at close geometries allows a greater throughput of

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inspected vehicles. In accordance with embodiments of the present invention, components of angle selection and variable-beam resolution are added to forward offset scanning to significantly increase the system's versatility.

In one embodiment of the present invention, a forward-offset portal system that inspects vehicles from both sides and the top, can, on the fly, change the angle rate of scan per revolution, as well as the scan resolution and the radiation exposure, to optimally inspect either trucks or cars. An effective portal inspection system of cars and trucks may use the x-ray backscatter technique (BX) to scan from both sides and from the top, as the vehicles pass through. The x-ray beams from the three BX systems are interleaved to prevent cross talk. That requirement places a severe limitation on the speed of the inspected vehicles. For example, a standard one-sided BX system that uses a 3-spoke aperture hoop, when applied to a three-sided inspection, limits the truck speed to less than 4 kph. To overcome this limitation, U.S. Provisional Application 61/533,407 teaches offsetting the x-ray tube axis forward of the axis of the aperture hoop that forms the pencil beams. The forward offset concept allows wide-angle scans of trucks with a six-aperture hoop, and a nine- or even a 12-aperture hoop for scanning smaller vehicles.

To increase the versatility of the forward offset concept, embodiments of the present invention in which the axes of the x-ray tube 4 and of the hoop 114 of rotating apertures coincide, as now described with reference to FIG. 12. The scan angle of fan beam 110 is defined by an angle selecting ring 111 that may be a variable slot or a ring with two or more fixed slots. The outer hoop 114 contains the beam-forming rings of apertures 115, each ring of apertures matches a given selected scan angle. A wide-angle collimator 113 confines the beam from the angle selector 111 to a single ring 115 of apertures. To co-plane the beam 110 from the angle selector with the appropriate ring of apertures, the x-ray tube 4 plus angle selector 111 plus collimator 113 are on a movable positioning platform (not shown), which serves to move those elements in a direction into the plane depicted in the cross-sectional view. The system may include the following components:

- a. an x-ray tube 4, well-shielded by a tube shield 112, where the x-ray tube is offset from the center of a rotating hoop, and produces a fan beam 110 of x-rays that emanates approximately perpendicular to the x-ray tube's beam axis.
- b. a rotatable angle-selector ring 111, with a variable angular slot or with selectable angular slots, is coaxial with x-ray tube 4. The ring 111, typically made predominately of lead, is impenetrable to the x-rays except for the slots that define the scan angles available for inspection. To optimize the scanning of both trucks and cars, there may be two or more slots to accommodate the different heights of trucks, SUVs and cars. The closed position of the angle-selector ring, which is the default position when the power is off, is the x-ray shutter for the system.
- c. an outer hoop 114, made of material that effectively blocks all x-rays except for those that pass through equally-spaced apertures 116, forms the pencil beams 70 of x-rays. The rotational axis of the hoop 117 is offset from the axis of the x-ray tube 4 by a distance D (shown in FIG. 13). The apertures may be arranged in separate rings. The number of apertures in a given ring must be commensurate with 360°; e.g. six apertures spaced 60° apart. Each ring of apertures corresponds to one of the opening angles in the angle-selector ring.
- d. a collimator (typically, a clamshell collimator) 180 (shown in FIGS. 7 and 8A-8B) between the collimator

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ring and the outer hoop co-planes the fan beam to the appropriate ring of apertures for the selected angular scan. The azimuthal opening of the collimator is fixed to accommodate the widest scan angle. The axial opening angle of the collimator controls the x-ray beam's axial resolution as well as the radiation dose on the target.

A separate filter ring **150**, coaxial with the x-ray tube, with angular segments of different absorbers to filter the x-ray beam either to control the radiation dose on the target and/or to control the energy spectrum of the x-rays on the target.

X-ray tube **4**, angle selector **111** and clamshell collimator **180** are mounted on a platform that moves, under motor control, to place the fan beam plane in the plane of the aperture ring appropriate for the selected angle. It should be noted that in some applications the rotating outer hoop translates, the other components are stationary.

One of the innovations in this invention is the use of rectangular slots instead of round or oval holes for purposes of chopping a beam. As used herein, the terms "slot," "aperture," and "through-hole" may be used interchangeably. The chopped beam may be a beam of particles having mass or of massless particles, including electromagnetic radiation over a specified wavelength range. In accordance with various embodiments of the present invention, a chopper, such as aperture wheel **170** (shown in FIG. **10B**), interrupts a beam of particles **70** characterized by a propagation direction. The chopper has a solid portion, which is an obscuring element substantially opaque to passage of the particles in the propagation direction. Aperture wheel **170** has one or more through-holes **175** (shown in FIG. **10B**) in the obscuring element adapted for passage through the obscuring element of particles in the propagation direction. Aperture wheel **170** is spun by an actuator (not shown) to interpose the through-holes **175** in the beam on a periodic basis.

The innovation of rectangular chopper apertures has two independent advantages over traditional round or oval apertures. First, is its usefulness in a single ring of apertures. The size of the pencil beam determines its spatial resolution or point spread function. Oval or circular apertures result in fixed resolutions that are difficult to change precisely. Slot apertures have fixed angular widths but variable axial lengths (dimension parallel to the beam axis) controlled by the clamshell collimator opening. The size of the beam spot can be precisely controlled by the collimator opening and the integration time of the pixel. The second advantage is evident when the outer aperture hoop has two or more rings of apertures, i.e., zooming ability, each ring with a different number of apertures. Round or oval apertures strongly limit the ability to vary the size of the pencil beams. The use of slots, as exemplified in FIGS. **11A-11C**, has both advantages of manufacturability and greater range of the axial width for a given length of slot.

The azimuthal widths of the slots are typically the same across all the slots, although they need not be equal, within the scope of the present invention. The slot lengths (parallel to the x-ray tube axis) preferably have a pattern that is determined by the opening angles of angle-selector ring **111**. In a 3-angle selector ring, for example, one ring has 3 apertures spaced 120° apart; an adjacent ring has 6 apertures spaced 60° apart; a third adjacent ring has 9 apertures spaced 40° apart. The pattern of slots is: 3 long slots at 0°, 120°, and 240° for the scan angles that are common to the 3 modes, and 12 short slots for scan angles that are unique to their mode. The slotted pattern has the significant advantage over round or oval apertures that the axial extent of the beams can be quantitatively adjusted by the clamshell collimator to change the beam resolution and/or adjust the radiation dose.

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In addition to rectangular through-holes, a chopper in accordance with embodiments of the present invention may also have biconical ("hourglass") or conical through-holes, as shown, respectively, in FIGS. **17A-17B**, and **17C-17D**, respectively. Such shapes advantageously serve to maximize beam throughput in the face of lateral beam offset. In embodiments employing more than one ring of apertures, each ring of apertures should have its own unique conical angles. Slotted apertures preferably have different slopes along the slots.

The offset scanner concept described herein may be applied advantageously to both forward and backward offsets. For heuristic reasons, the offset scanner is described herein primarily in terms of an offset in the forward direction (i.e., toward the target, as might be employed in portal systems for inspecting large and small vehicles, however it is to be understood that the relative position of the tube axis and hoop axis does not limit the scope of the invention as claimed.

FIG. **13** is a schematic drawing depicting the geometry of the system. The tube is forward-offset by a distance D from the axis of the hoop of radius R . The fan beam exits to the right; the center of the fan is at 0°. Apertures **116** along the rim are equally spaced, i.e., commensurate with 360°. Six apertures, spaced 60° apart are shown in FIG. **13**. Aperture **1** is at an angle θ with respect to the hoop axis, and an angle ϕ with respect to the tube axis; $\phi > \theta$. The relationship between ϕ , θ , R and D is given by:

$$\tan \phi = \frac{\sin \theta}{\cos \theta - \frac{D}{R}} \quad (1)$$

In the case of a backward offset, D/R is added to $\cos \theta$ in the denominator rather than subtracted.

FIG. **14** is a graph of the scan angle (or, equivalently, opening angle, 2ϕ), as a function of the ratio of the forward offset D to the radius R , for 3, 4, 6, 8, 9, and 12 scans per revolution (s/r) of the aperture tube. The number of scans per revolution (or, s/r values) is determined by the angular spacings, 20, between apertures, which are 120°, 90°, 60°, 45°, 40° and 30° respectively. For large values of D/R , ϕ can become negative, i.e., unphysical. (There are no unphysical values of D/R for backward offsets.) Within obvious constraints, the offset of the axis of x-ray tube with respect to the axis of the rotating outer hoop can be any direction and can have a wide range of values, including zero.

FIG. **14** shows the scan angles obtainable for aperture rings of 3, 4, 6, 9 and 12 apertures. A single revolution of the wheel allows the beam to scan 12 times over an angular range of 90 degrees ($D/R=0.7$), for example. That spreads the beam intensity over 1080 degrees of scan in one revolution of the aperture hoop. At 6 times per revolution of 120 degrees spreads the beam intensity over 720 degrees of scan in one revolution. This feature, by itself, is a potent tool for reducing undersampling. Undersampling is an inevitable bottleneck to increasing maximum vehicle speeds to increase throughput. Until now, higher rotational speeds have been sought in order to achieve higher throughput, however the present invention advantageously provides requisite additional fluence without resorting to higher rotational speeds.

Different D/R values can be used in a single scanning system. In the most general case, the x-ray tube plus a continuously variable angular selector can be moved both radially and axially to produce a continuously variable angular scan. In practice, however, the D/R value is typically fixed. That still gives the system considerable flexibility to optimize

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the x-ray beam flux on the target; i.e., to obtain maximum utilization of the fluence. The following examples illustrate.

EXAMPLE 1

A Portal System that Uses Bx to Scan Cars, Suvs and Trucks from Both Sides, but not from the Top

The fan beam from the x-ray tube is collimated to have an azimuthal extent of 120° and an axial width of ~2°. The x-ray tube is forward offset 24 cm from the center of a 60 cm diameter hoop (D/R=0.4). When trucks are inspected, the 4-aperture ring is selected and the beam opening angle selector is set at 120°. (A 120° beam is presently the practical limit for available x-ray tubes.) When cars/SUVs are inspected, the 8-aperture ring, and 72° slot are selected. The interleaving requirement results in 2 scans per revolution from each side of a truck and 4 scans per revolution from each side of a car.

EXAMPLE 2

A Portal System in which Cars/Suvs as well as Trucks are Scanned By Bx Systems from Both sides and from the Top

The x-ray tube is forward offset 36 cm from the center of a 60 cm diameter hoop (D/R=0.6). FIG. 14 shows that a 124° scan can be obtained 6 times per revolution and a 70° scan can be obtained 12 times per revolution of the aperture ring. The 124° is effective for scanning sides of trucks while the 70° scan is sufficient for scanning through the top of trucks, and sufficient for use from every side of cars and SUVs. Cars can be scanned 4 times per revolution from all three sides. Trucks can be scanned 2 times per revolution from the sides and 4 times per revolution from the top.

EXAMPLE 3

Accommodating Higher Vehicle Speeds and Hence Greater Throughput

An aperture hoop rotating at 3,000 rpm makes one revolution in 0.02 seconds. During that time, a vehicle traveling at 12 kph moves 66 mm. The resulting under-sampling with one scan per revolution at an acceptable beam resolution results in unacceptable inspections at 12 kph. As a consequence, speeds through present three-side portal inspections are limited to ~4 kph because there is only one sweep per revolution from each side. Examples 1 and 2 above show that forward offset allows trucks to be scanned twice as rapidly from the sides and 4 times as rapidly from the top. Cars can be scanned 4 times per revolution from every side. These additional scans per revolution of the beam-forming wheel, together with an adjustable beam width by means of the clamshell collimator, allows trucks to be effectively inspected at 12 kph, and cars inspected at still higher speeds.

In accordance with various embodiments of the invention, rings of individual round or oval apertures may be used. Slots, however, when used with the clamshell collimator, are preferable, especially when multiple rings are used. FIG. 6 shows the pattern of 12 slots for Example 2 above. 6 of the slots, as 0°, 60°, 120°, 180°, 240° and 300° are double width slots, while 6 slots at 30°, 90°, 150°, and 210°, 270° and 330° are single width slots. Either the 6-aperture ring or the 12 aperture ring can be selected; the 12 aperture ring is depicted in the figure. The clamshell collimator can change the aperture width from

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fully closed to 5 mm wide in that example, to cover a wide range of beam sizes and delivered dose.

Rotation of the X-ray Tube.

In accordance with further embodiments of the present invention, provision is made for rotation of x-ray tube 4 about its axis 6 (shown in FIG. 1). Rotatability of the x-ray tube may advantageously increase the angular volume subject to inspection by the system, and may additionally be used to improve the beam resolution, as now described with reference to FIGS. 15A-15C, and 16.

As shown in the perspective view of FIG. 16, x-ray tube 4 together with the angle selector 113, filter ring 150, and clamshell collimator 180 are rotatably mounted on a platform 5 that moves linearly to co-plane the selected fan beam with the appropriate ring of apertures. The fan beam 8 with an angular extent 15, typically provided by the tube's manufacturer, constrains the ability to change the usable direction and extent of that beam. For example, in the standard configuration in which the 120° fan beam from the x-ray tube is emitted horizontally, the basic scanning apparatus 2 can only manipulate the x-ray beam within that space. Advantages of a rotatable platform to versatile scanning systems in accordance with the present invention are now described.

An important application of the rotatable platform is to increase the angular range of backscatter inspection. For example, the maximum height that can be inspected in conventional portal systems using a 120° fan beams is about 14 feet. Higher vehicles cannot be fully inspected. The addition of a rotatable platform corrects that problem, allowing a second inspection of the top portion of a vehicle or targets that are 20 feet high or more.

Another important application is to improve the spatial resolution of a secondary inspection of a small area of a vehicle. For example, a suspect area, found in a 120° scan, can be closely inspected by zooming into the suspect area with a 15° scan. The nine-fold gain in flux density will significantly improve the image of a suspect area. If, however, the suspect region is in the outer reaches of the 120° fan beam from the x-ray tube, the spatial resolution of the beam will be far from optimum and the full advantage of the zoom will not be realized. The resolution can be improved substantially by rotating the platform so that the axial ray of the scanning beam is centered on the suspect region. The sequence of steps is shown schematically in FIG. 15A to 15C, for a suspect region at the extreme of a 120° scan. In FIG. 15A, the 15° scan, defined by the scan-angle selector 113, is centered on the beam axis of the 120° fan beam 7 from the tube. The pencil beam emanates from a small, symmetric focal spot and the quality of the pencil beam is the best it can be for that x-ray tube. Without a rotatable platform, the suspect area is inspected with a 15° scan by rotating the two arms of the scan-angle selector 113 counter-clockwise 52.5°, using actuators 9, to the configuration shown in FIG. 15B. The quality of the pencil beams, however, has worsened because the effective focal spot has grown substantially. FIG. 15C shows the same geometry for a 15° scan of the suspect area, now formed by rotating the platform counter-clockwise 52.5° the beam axis from the x-ray tube is along the center of the 15° scan, and the beam quality has been optimized.

Improvement in resolution due to centering the inspected object in the x-ray tube emission beam can be further understood as follows. The spatial resolution of the backscatter image is determined by the cross-section of the x-ray beam, and that size is constrained by the focal spot size of the electrons on the anode. The typical x-ray tube (operated in a reflection configuration) focuses a line source of electrons (from a coil filament) as a line onto the anode, which is tilted

with respect to the electron beam. The effective size of the focal spot depends on the viewing angle. For example, a line source of x-rays from an anode, tilted 15° with respect to the electron beam, is 1 mm high by 4 mm. The line source of electrons spreads the heat load on the anode, allowing for higher power dissipation and hence higher x-ray flux. The focal spot size of commercial x-ray tubes is specified only for the axial ray direction; in this example, the width of the focal spot is 1 mm and the effective height is also ~1 mm. The focal spot size at the extreme of a 120° fan beam, however, is a line source 1 mm wide by $4 \times \sin 60^\circ = 3.5$ mm long. Moreover, the beam quality is further diminished by the increased absorption of the x-rays in the anode itself, the so-called heel effect. Rotating the axial ray from the x-ray tube into the center of the zoom angle effectively eliminates both these effects.

Degradation of resolution with angular displacement from the center of the scan constrains the acceptable angular spread of the scanning pencil beam. Given that constraint, it is nonetheless often important to obtain the best spatial resolution for inspecting a specific target area that is not close to the central axis. To solve this problem the x-ray tube may be rotated together with the beam collimation so that the central axis of the x-ray beam is pointing in the direction of the desired target area.

Operator and Automated Features. It is to be understood that the focusing operation may be performed by an operator, on the basis of an indicated suspect area that constitutes a portion of the inspected object. The angular opening of the scan, the direction of the scan, the beam's spatial resolution, and the number of scans per revolution can each or in combination be changed by the operator or by automation on the basis of the target height, and target distance from the beam chopper assembly, and relative speed of the target with respect to the assembly. The identical apparatus may thus advantageously be employed for performing a primary rapid scan, followed by a secondary, high-resolution, small-area scan of a suspect area found in a first, rapid scan.

For illustration, the operator may focus on a small, suspect area of a target that has first been scanned with a broad beam. A 3-aperture ring may produce a 120° wide scan of a large vehicle. The collimators of the angle selector may then be closed to form a horizontal 15° fan beam, with good resolution since its source is 1 mm×1 mm, in this example. The collimators may be rotated together through 52.5° to center the 15° fan beam onto a specified portion of the inspection target. The x-ray beam is now more concentrated by a factor of 6 compared to the 120° beam, but the effective source size is now close to 1 mm×3.5 mm and much of the concentration gain has been lost. The tube/collimator may be rotated so that the central axis of the beam points along the center of the 15° sweep. The inspection is now carried out with optimum resolution.

The rotation of the x-ray tube reduces the degradation of beam resolution at angles far from the axial direction. In some applications it may be advantageous to accept the degradation in resolution and increase the beam width to obtain as much fluence as possible with that resolution. One method for doing so is to make the gap 185 of clamshell collimator 180 in an hour-glass shape, as shown in FIGS. 8A-8B, with the minimum opening of, for example, 3 mm in the center (for the central rays), increasing as a function of angle to either side of center. It should be borne in mind that maximizing the throughput does not equalize the flux across the scan angle. When the finite size of the focal spot is taken into account, the intensity of the x-ray beam may vary by as much as a factor of

2 between its center and the extremes of +55 degrees. The shaped collimator gap serves to equalize flux across the scan angle.

The embodiments of the invention described herein are intended to be merely exemplary; variations and modifications will be apparent to those skilled in the art. All such variations and modifications are intended to be within the scope of the present invention as defined in any appended claims. In particular, single device features may fulfill the requirements of separately recited elements of a claim.

What is claimed is:

1. A scanning apparatus for scanning a beam in a single dimensional scan, the apparatus comprising:

- a. a source of radiation for generating a fan beam of radiation effectively emanating from a source axis and characterized by a width;
- b. an angle selector, stationary during the course of scanning, for limiting the angular extent of the scan; and
- c. a multi-aperture unit rotatable about a central axis in such a manner that beam fluence incident on a target is the same per revolution for different fields of view of the beam on the target.

2. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes rings of apertures spaced laterally along the central axis in such a manner that relative axial motion of the multi-aperture unit, relative to the x-ray beam plane, places a ring of apertures in the beam that is collimated by a corresponding opening angle in the angle selector.

3. A scanning apparatus in accordance with claim 1, wherein the angle selector includes a slot of continuously variable opening.

4. A scanning apparatus in accordance with claim 1, wherein the central axis is substantially coincident with the source axis.

5. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes rectangular slots.

6. A scanning apparatus in accordance with claim 1, wherein the source axis is forward-offset relative to the central axis.

7. A scanning apparatus in accordance with claim 1, further comprising a collimator for limiting at least one of a width of the beam and an angular extent of the scan.

8. A scanning apparatus in accordance with claim 7, wherein the collimator is a width collimator.

9. A scanning apparatus in accordance with claim 8, wherein the collimator is an inner collimator.

10. A scanning apparatus in accordance with claim 8, wherein the collimator is an outer collimator.

11. A scanning apparatus in accordance with claim 1, wherein the angle selector includes a plurality of discrete slots.

12. A scanning apparatus in accordance with claim 10, wherein the angle selector includes a shutter position.

13. A scanning apparatus in accordance with claim 1, wherein the source of radiation is an x-ray tube.

14. A scanning apparatus in accordance with claim 1, wherein the source of radiation is of a type generating a fan beam exceeding 60° in opening angle.

15. A scanning apparatus in accordance with claim 1, further comprising an enclosing conveyance for conveying the scanning apparatus past an inspection target.

16. A scanning apparatus in accordance with claim 1, wherein the scanning apparatus is coupled to a platform.

17. A scanning apparatus in accordance with claim 1, wherein the scanning apparatus is coupled to a platform in conjunction with at least one further scanning apparatus.

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18. A scanning apparatus in accordance with claim 1, further comprising a filter disposed within the beam for changing the energy distribution of the beam.

19. A scanning apparatus in accordance with claim 18, wherein the filter is disposed on a filter tube adapted for selective insertion of a plurality of filters.

20. A scanning apparatus in accordance with claim 18, wherein the filter tube includes a beam shutter.

21. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes two nested, multi-aperture collimators.

22. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes an inner multi-aperture hoop characterized by a hoop axis, the inner multi-aperture hoop made of material opaque to the beam.

23. A scanning apparatus in accordance with claim 21, wherein the inner multi-aperture unit includes rings of apertures spaced laterally along the hoop axis in such a manner that relative axial motion of the inner multi-aperture unit, relative to the beam plane, places a ring of apertures in the beam that is collimated by a corresponding opening angle in the angle selector.

24. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes an outer multi-aperture hoop rotatable in registration with an inner multi-aperture hoop.

25. A scanning apparatus in accordance with claim 23, wherein the outer multi-aperture hoop includes a plurality of apertures configured as horizontal slots in such a manner as to define a minimum size of emitted pencil beams along a sweep direction of the beams.

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26. A scanning apparatus in accordance with claim 23, wherein the inner multi-aperture hoop and the outer multi-aperture hoop are mechanically integral.

27. A scanning apparatus in accordance with claim 23, further comprising an outer variable width collimator for defining a width of the beam that enters or exits the outer multi-aperture hoop.

28. A scanning apparatus in accordance with claim 1, wherein radiation is emitted through a plurality of apertures at different angles with respect to the target, such that pencil beams of penetrating radiation sweep in alternation through the target in such a manner as to provide a stereoscopic view of an interior volume of the target.

29. A scanning apparatus in accordance with claim 1, further comprising a rotation assembly adapted to provide for rotation of the source of radiation about the source axis.

30. A scanning apparatus in accordance with claim 1, wherein the multi-aperture unit includes substantially rectangular through-holes.

31. A method for inspecting an object based on the transmission of x-rays through an object, the method comprising:

- a. generating a fan beam of radiation;
- b. collimating the width of the fan beam with a collimator that is stationary during the course of a scan of the object, the scan characterized by an extent;
- c. limiting the extent of the scan with an angle selector; and
- d. varying a field of view of the beam on the object by means of a multi-aperture unit rotatable about a central axis in such a manner that the resulting beam fluence on the target is the same per revolution for all selected scan angles.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 9,052,271 B2
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DATED : June 9, 2015
INVENTOR(S) : Lee Grodzins et al.

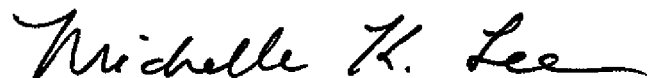
Page 1 of 2

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Drawings:

Delete Fig. 18 and substitute Fig. 18 as shown on attached page

Signed and Sealed this
Sixth Day of October, 2015

A handwritten signature in black ink, reading "Michelle K. Lee". The signature is written in a cursive, flowing style.

Michelle K. Lee
Director of the United States Patent and Trademark Office

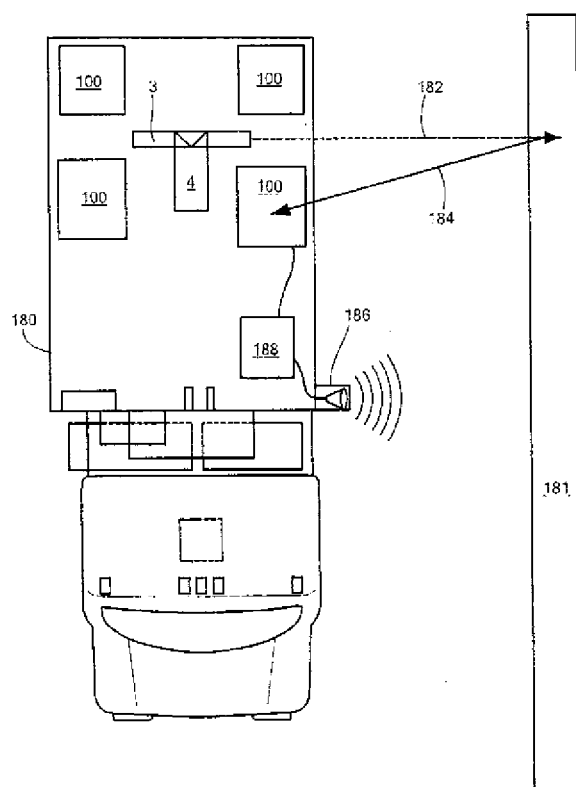


FIG. 18